

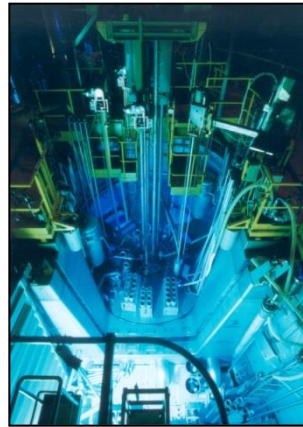
Inelastic neutron scattering

Sylvain PETIT
Laboratoire Léon Brillouin
CEA Saclay
91190 Gif sur Yvette, France
sylvain.petit@cea.fr

Why neutrons ?

Crystalline and
magnetic structures

Elementary
excitations in solids



Large scale
structures

Quasi-elastic
scattering

Reflectometry
(surface)

Diffraction

Crystalline and
magnetic structures

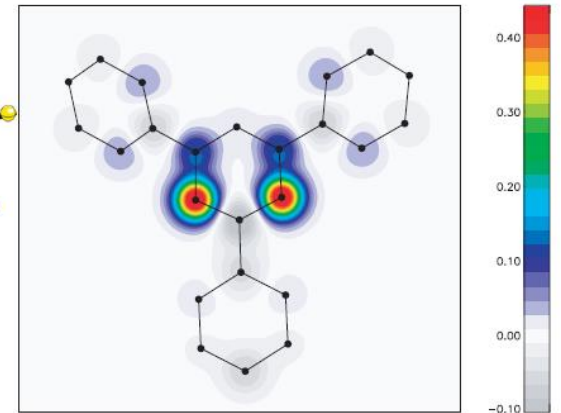
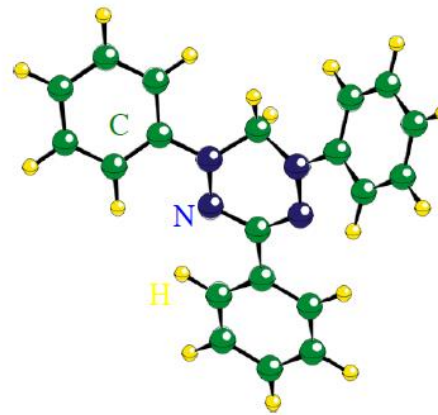
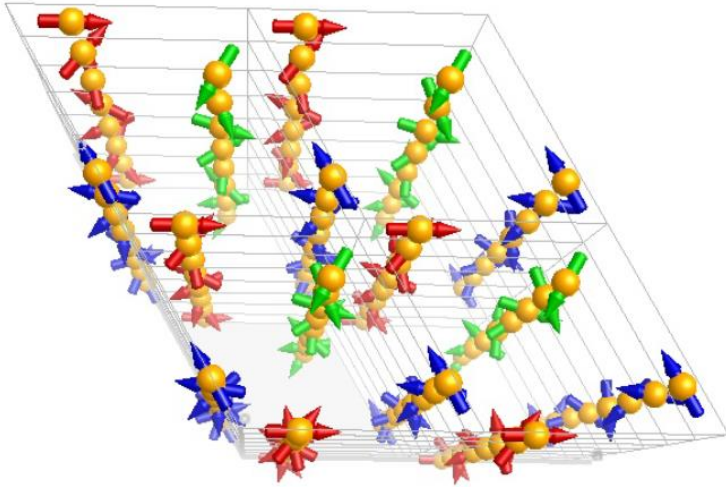


Fig. 4. View of the TPV molecule (left) and experimental magnetization distribution (right) as measured by polarized neutron diffraction.

Inelastic neutron scattering

The structure factor gives information about the structure:

$$F(Q) = \sum_{\ell} b_{\ell} e^{iQ \cdot r_{\ell}} e^{-W_{\ell}}$$

$$\vec{F}(Q) = \sum_{\ell} \vec{S}_{\perp,\ell} e^{iQ \cdot r_{\ell}} e^{-W_{\ell}}$$

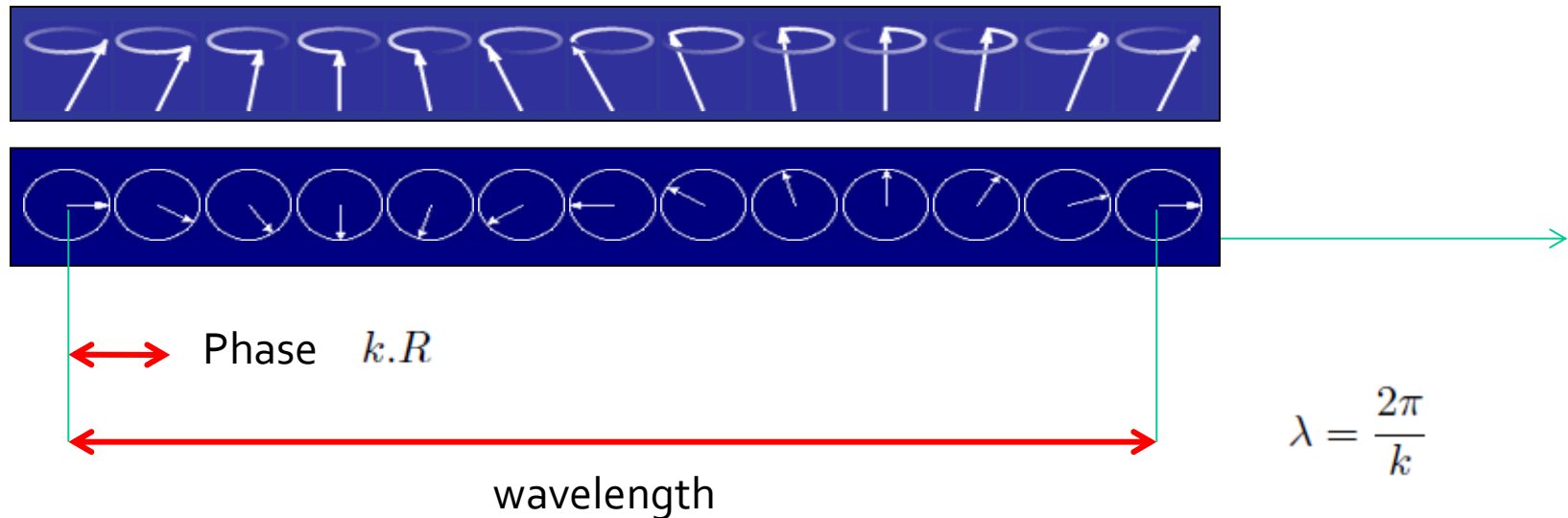
What about the terms that stabilize this structure ?

What about the Hamiltonian of the system ?

Investigate the excited states

Example in magnetism

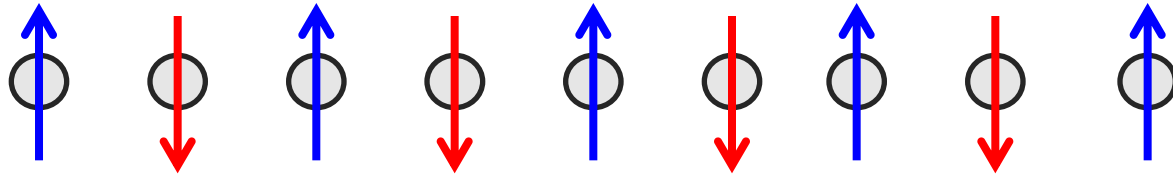
Classical elementary magnetic excitations are spin waves



The dispersion relation connects the wavevector k and the frequency ω (energy)

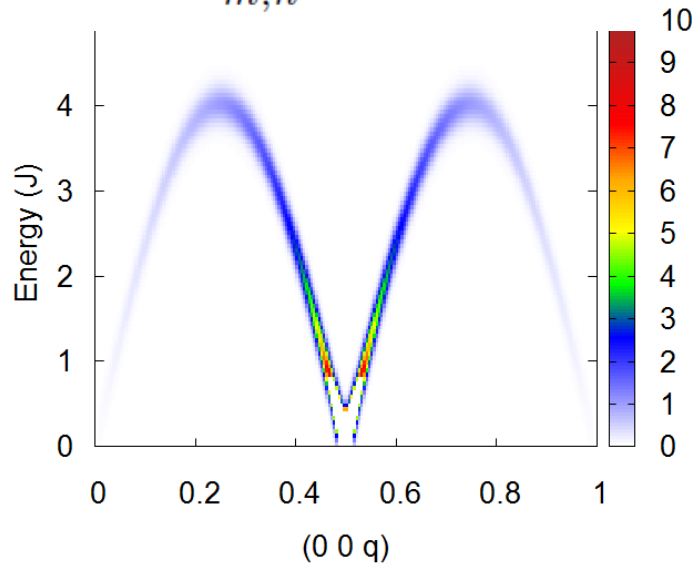
Neutron directly measure $\omega(k)$

Example in magnetism

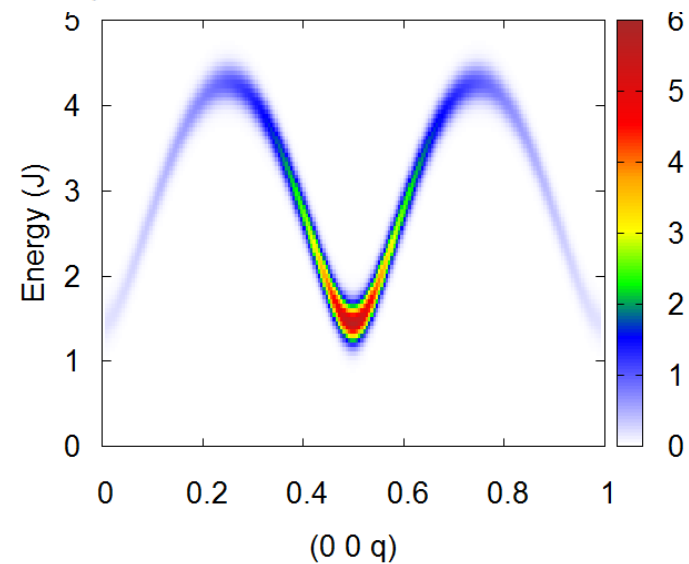


Same ground state configurations but different spectra (spin gap)

$$\mathcal{H} = \sum_{m,n} J_{m,n} \vec{S}_m \cdot \vec{S}_n$$

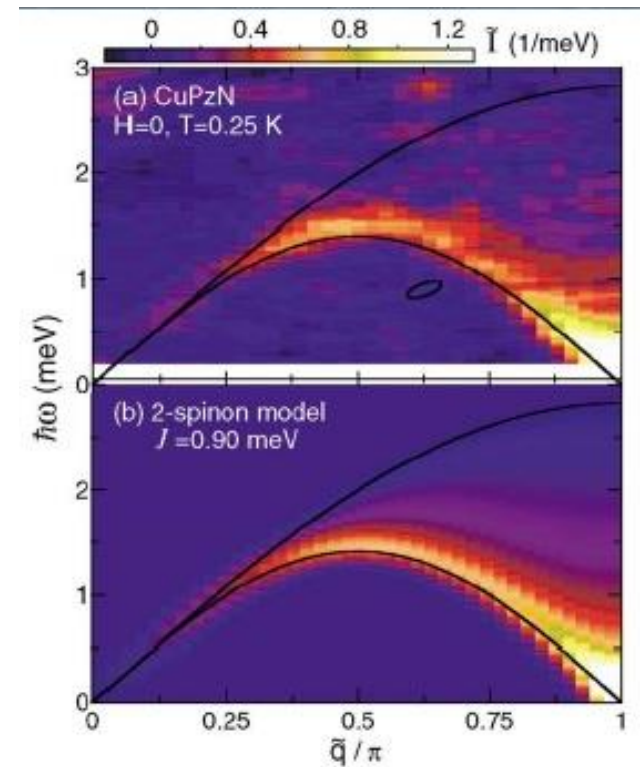
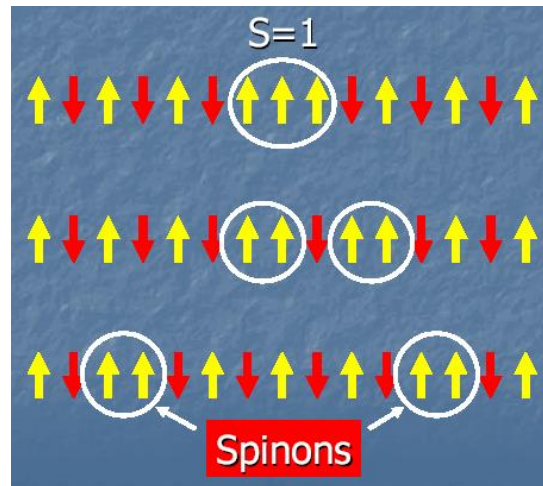
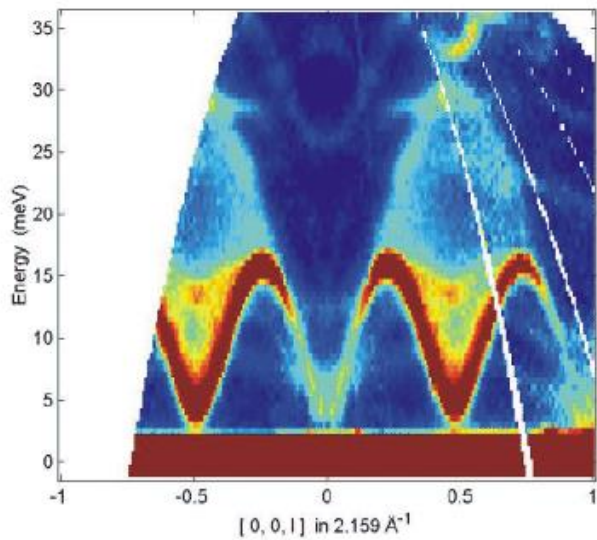


$$\mathcal{H} = \sum_{m,n} J_{m,n} \vec{S}_m \cdot \vec{S}_n + \sum_m D (S_m^z)^2$$



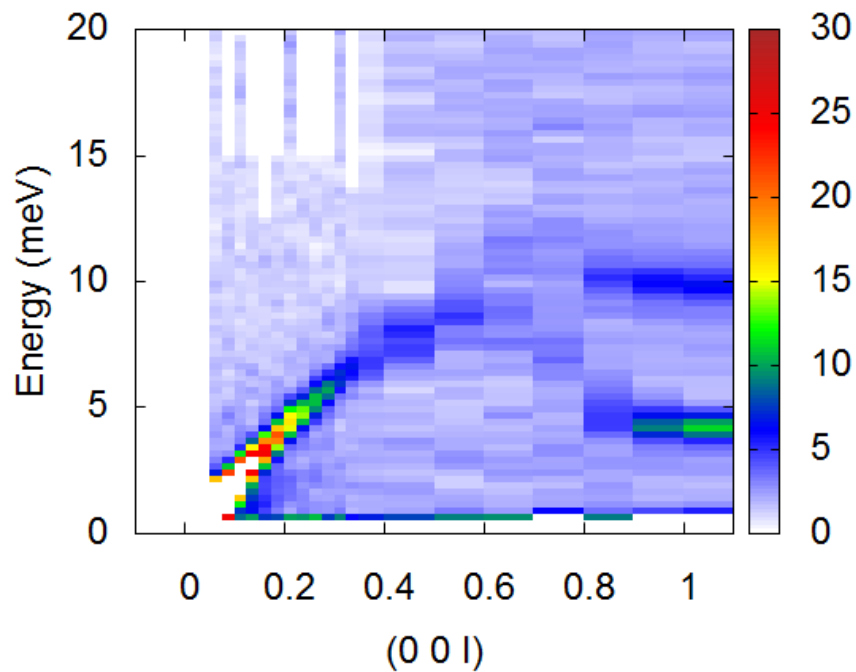
Example in magnetism

Unconventional magnetic excitations in 1D systems

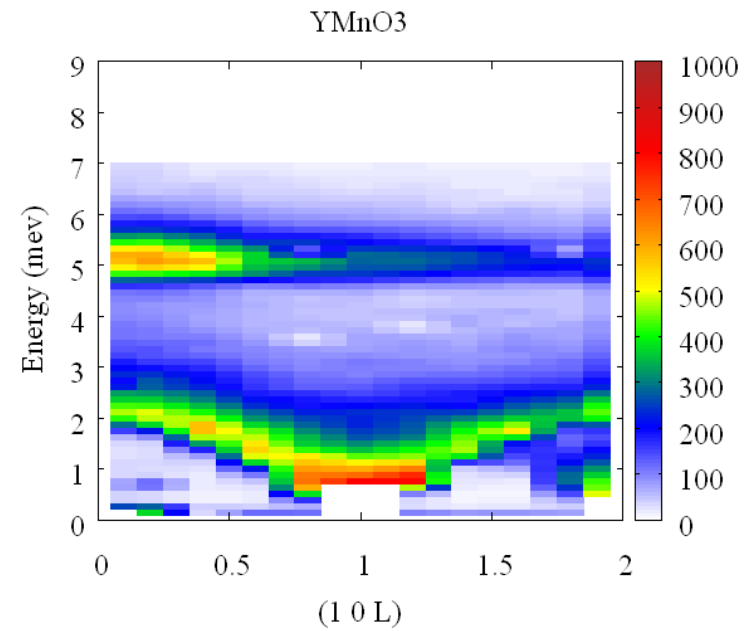


Lattice dynamics

Lattice dynamics in PbTe

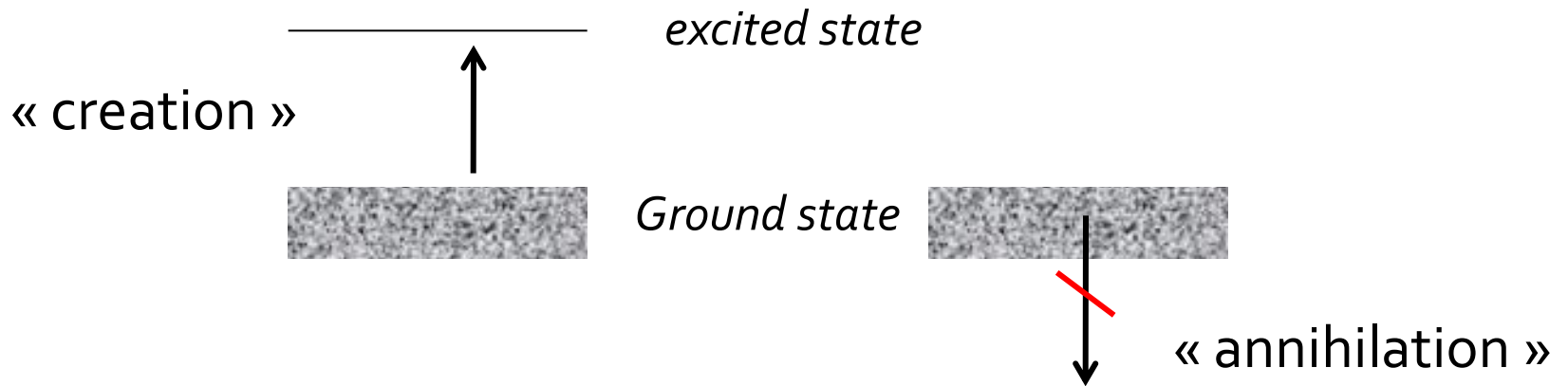


Spin dynamics in YMnO₃



Detailed balance

At $T=0$ K: the system is in its ground state



Absence of symmetry between creation and annihilation processes

- No charge
- Massive particle

$$E = \frac{1}{2}mv^2$$

$$mv = \hbar k$$

- Spin $1/2$

$$E = \frac{\hbar^2 k^2}{2m}$$

$$S = 1/2$$

$$S_z = \pm 1/2$$

- Interact with matter : nuclear & magnetic contributions

- Wavelength of thermal neutron : $\lambda \sim$ a few Å $\lambda = \frac{2\pi}{k}$

- Energy of thermal neutrons : $E \sim$ a few meV

Diffraction and spectroscopy are possible by virtue of both their Nuclear and Magnetic interaction with matter.

- Bulk and not surface
- Light elements
- Magnetism, spins orientation

Neutron sources

In Europe:

Reactors

ILL-Grenoble (France)

LLB-Saclay (France)

FRMII-Munich (Germany)

HMI-Berlin (Germany)

Spallation sources

ISIS-Didcot (UK)

PSI-Villigen (Switzerland)

But also:

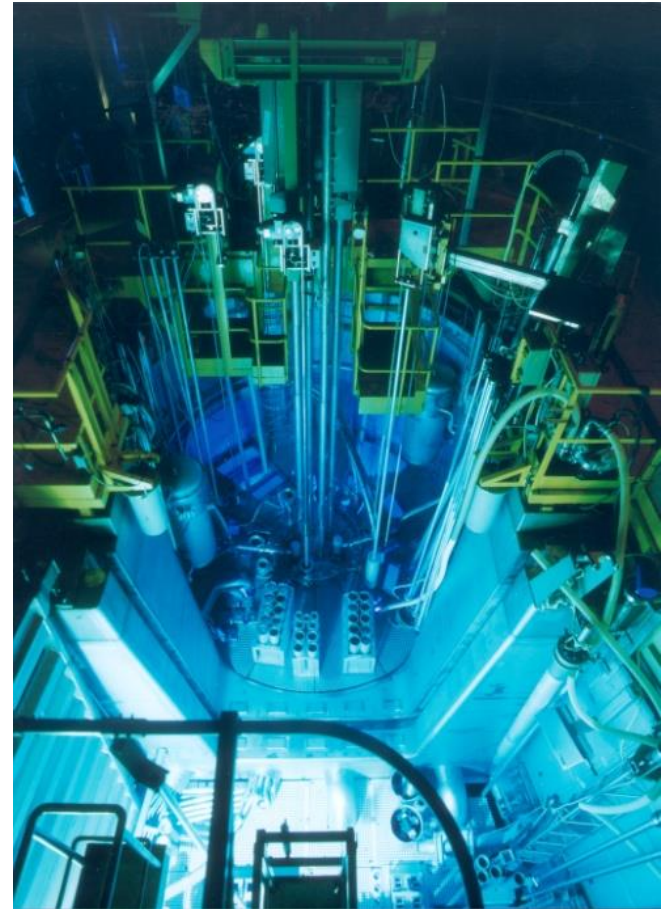
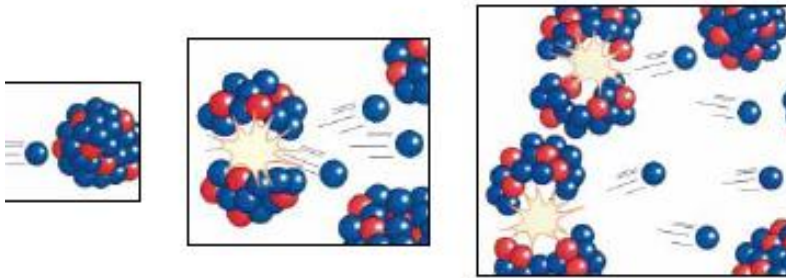
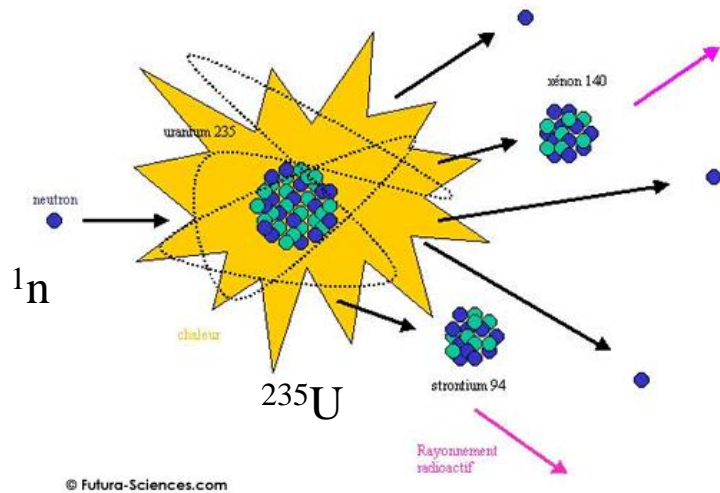
Dubna (Russia), JPARC (Japan)

SNS, DOE labs (USA), ANSTO
(Australia)

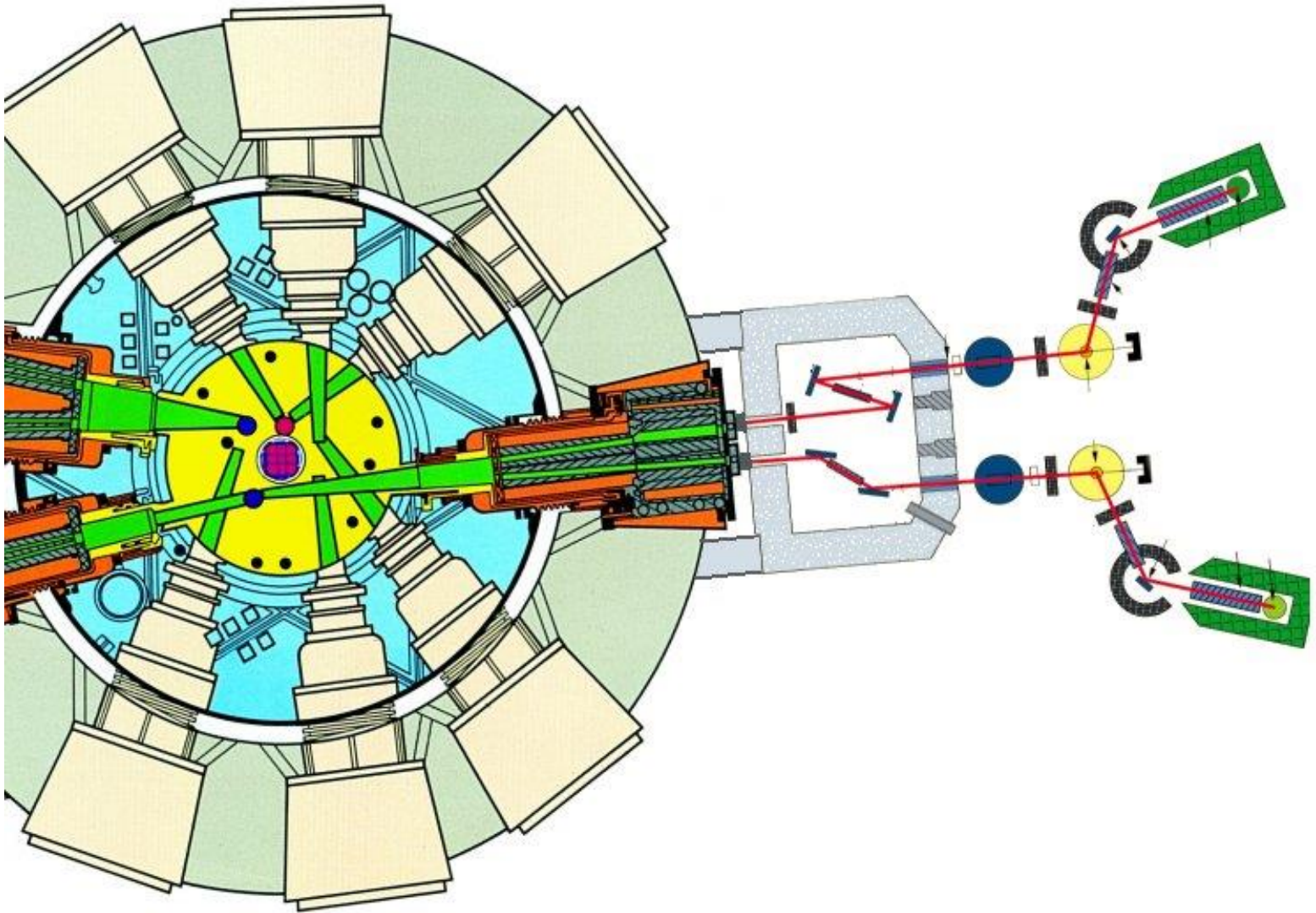
Canada, India, ...



Neutron sources



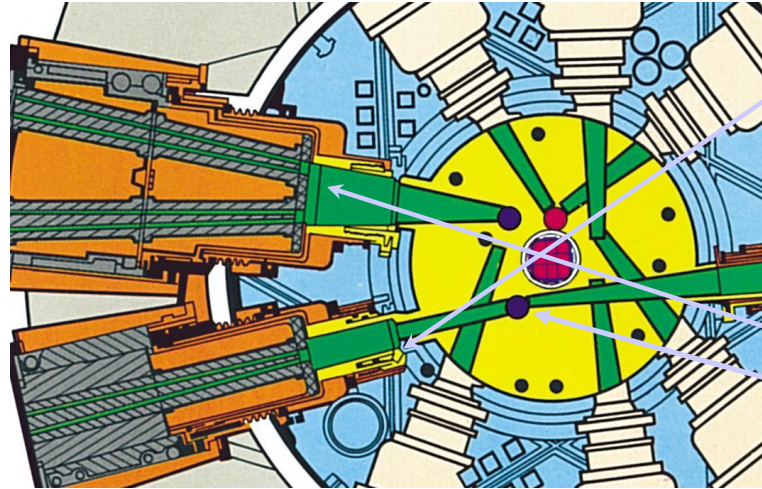
LLB



LLB

9 beam tubes

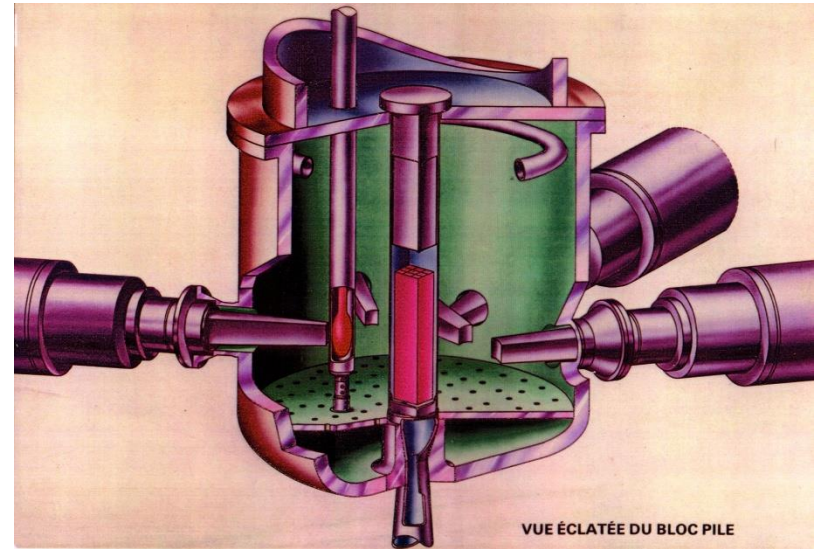
- 4 thermal
- 2 hot
- 3 cold



Hot
source

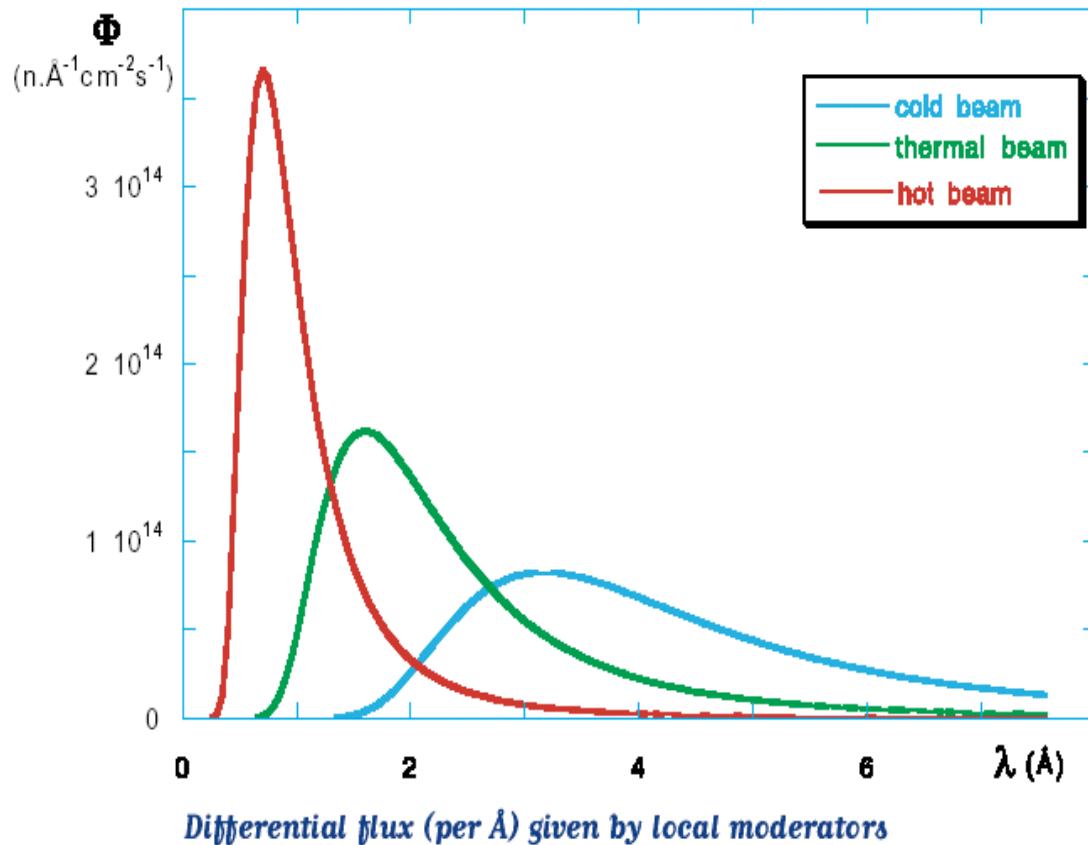
Cold
source

9 guides for cold neutrons



VUE ÉCLATÉE DU BLOC PILE

Hot, thermal and cold « sources »



Hot Neutrons

Liquid and single
crystal structures

Thermal Neutrons

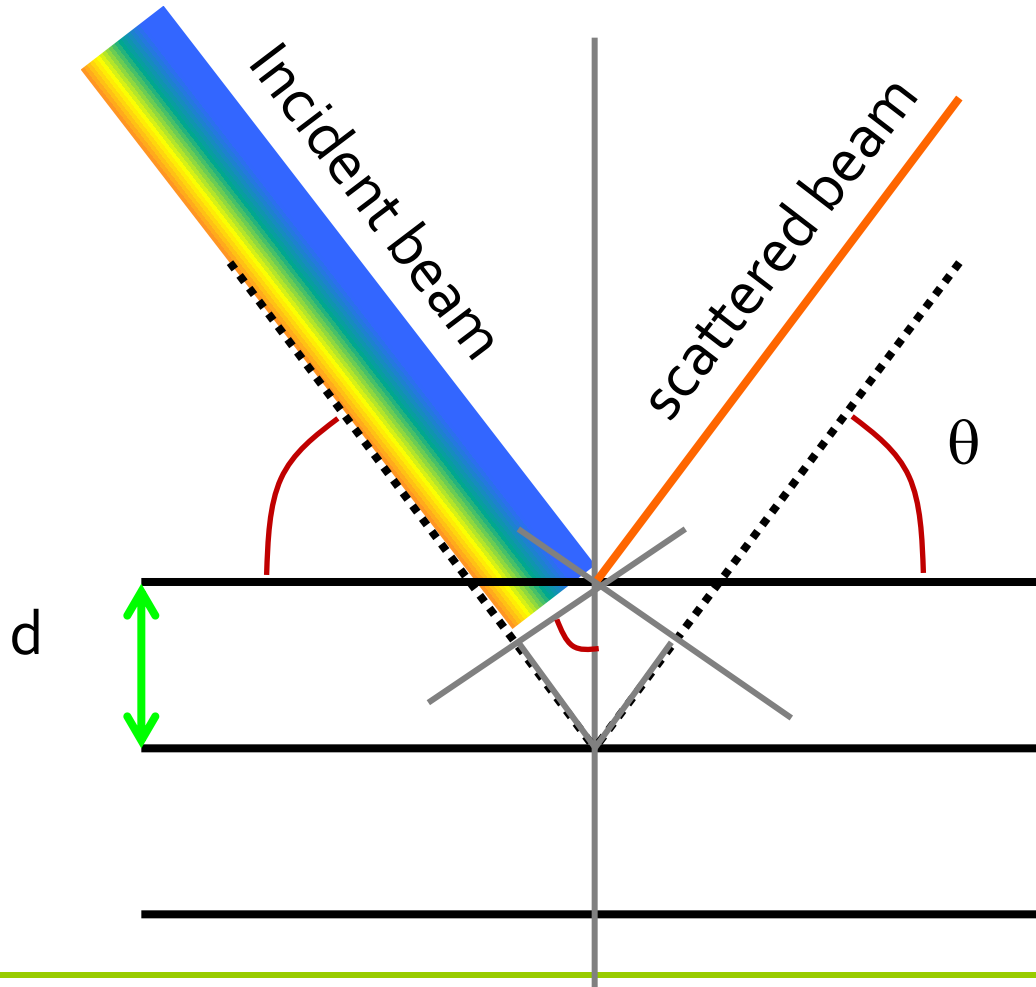
Condensed matter

Cold neutrons

Condensed matter
Large scales structures

How to select an incident energy ?

The reactor provides a spectrum of neutrons with different E_i
But we need to select a unique incident energy



Phase difference
Bragg law

$$\lambda = 2d \sin \theta$$

$$\lambda = \frac{2\pi}{k}$$

$$E = \frac{\hbar^2 k^2}{2m}$$

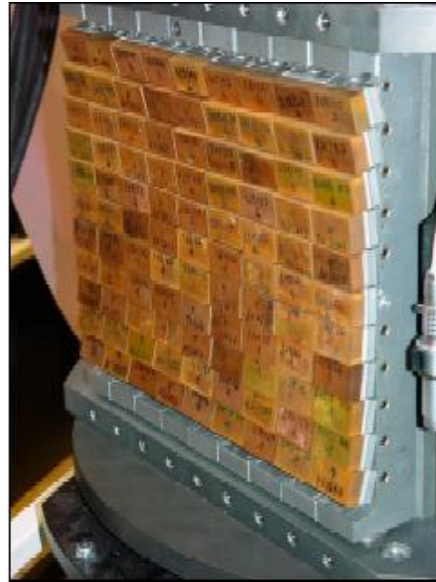
Monochromator



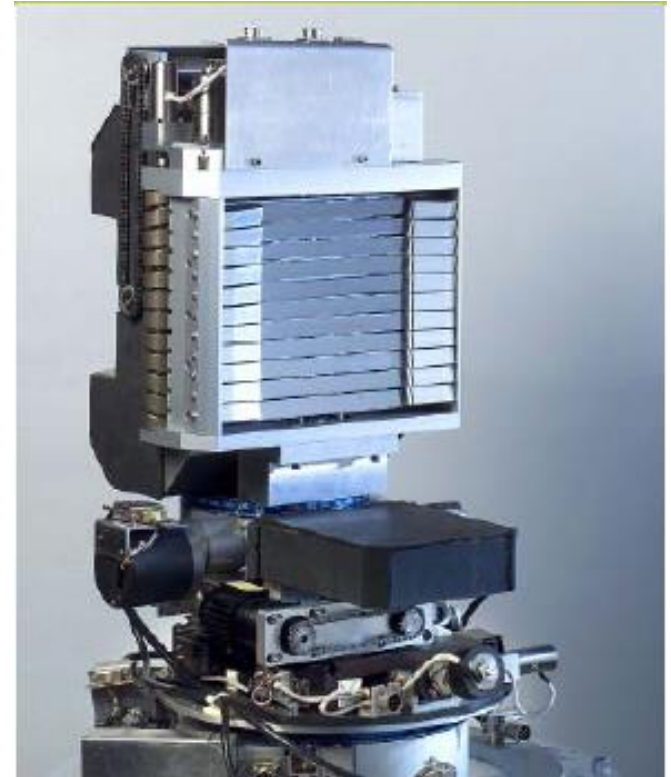
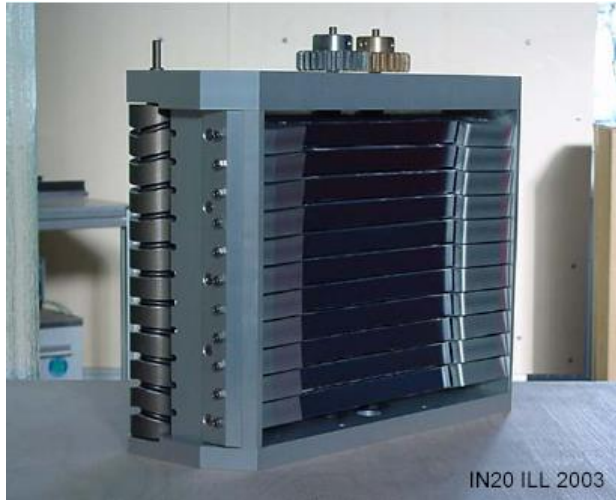
Graphite

- Should not absorb
- High reflectivity
- d-spacing must be ok
- Large single crystals
- « Cheap » ...

Cu

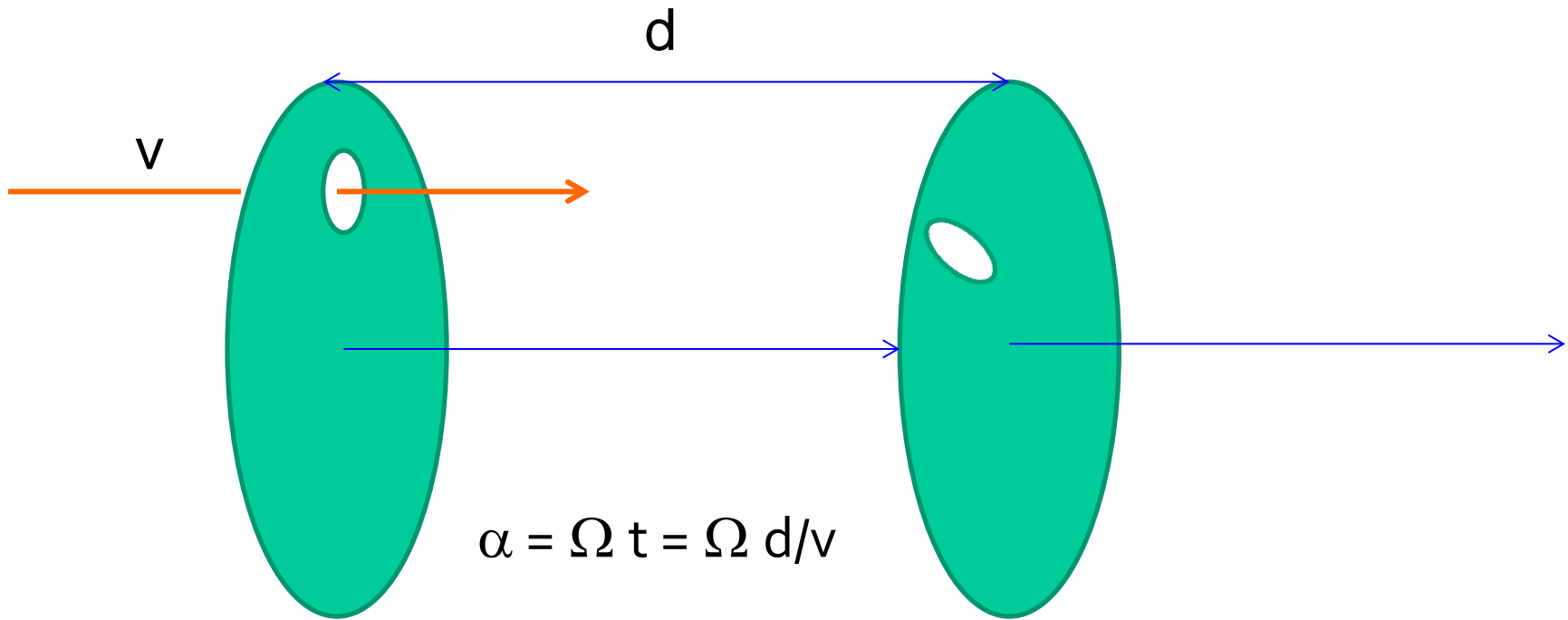


Monochromator



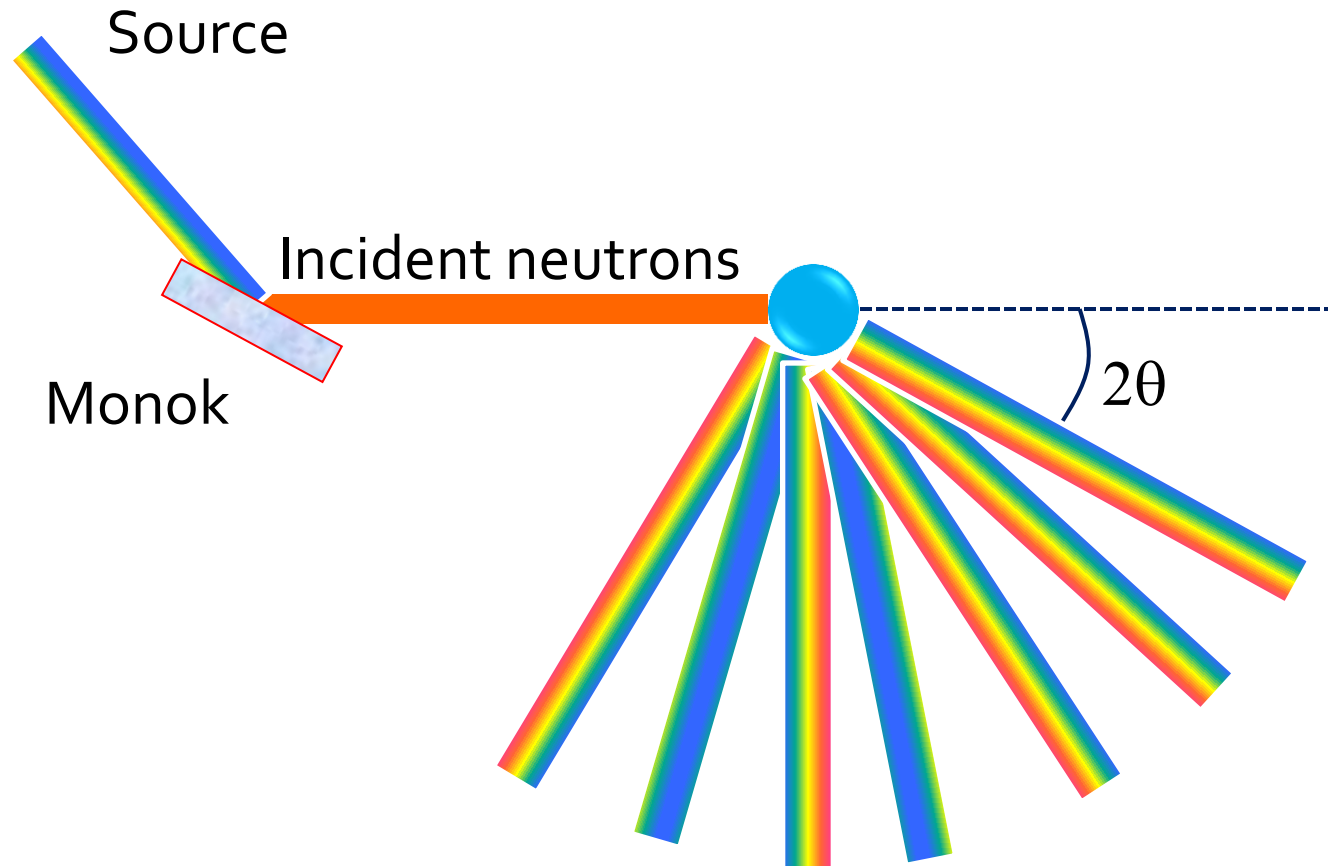
How to select an incident energy ?

Other possibilities : Disk Chopper



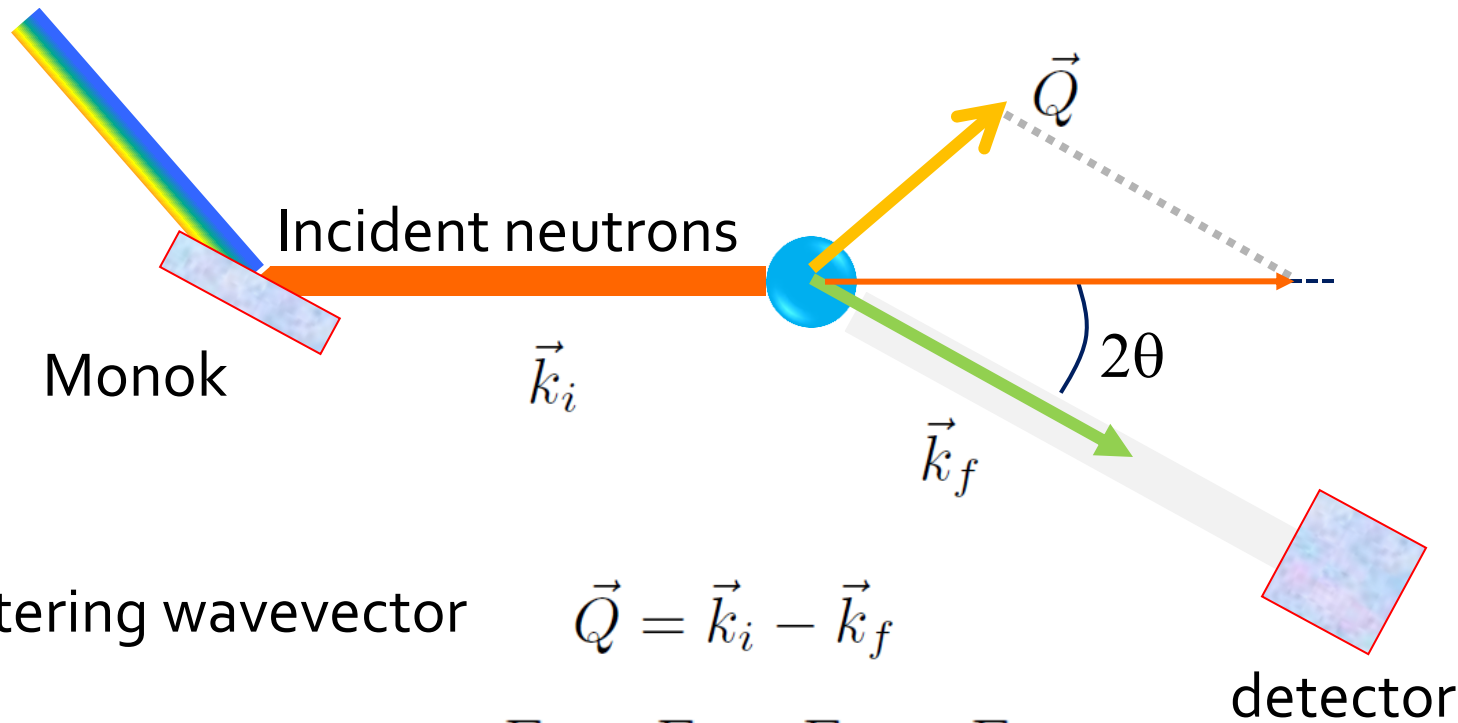
Produces a bunch of neutrons with a given incident energy

Neutron scattering



For a given θ , the neutrons gain or lose some energy depending on the scattering process

Neutron scattering



Scattering wavevector

$$\vec{Q} = \vec{k}_i - \vec{k}_f$$

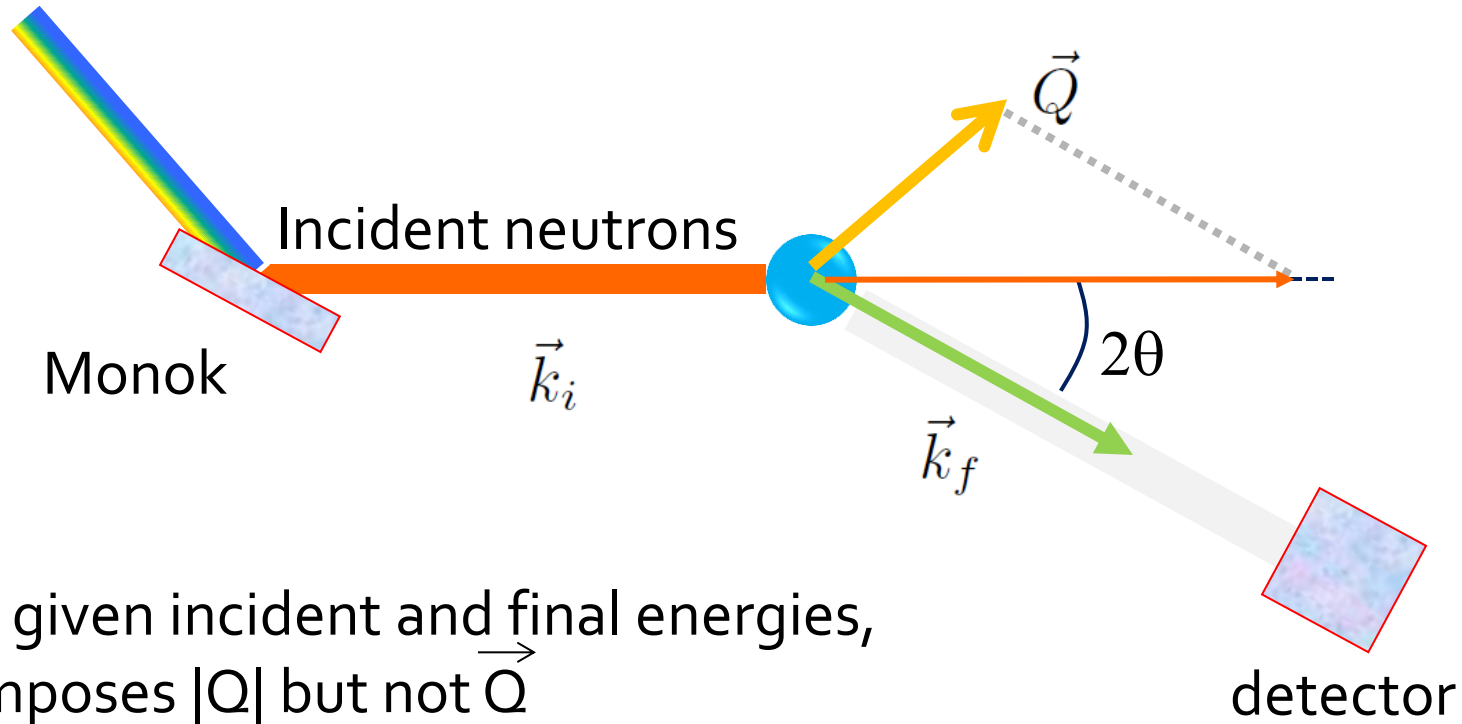
Energy conservation

$$E_\lambda + E_i = E_{\lambda'} + E_f$$

Energy transfer

$$E = \hbar\omega = E_i - E_f = E_{\lambda'} - E_\lambda$$

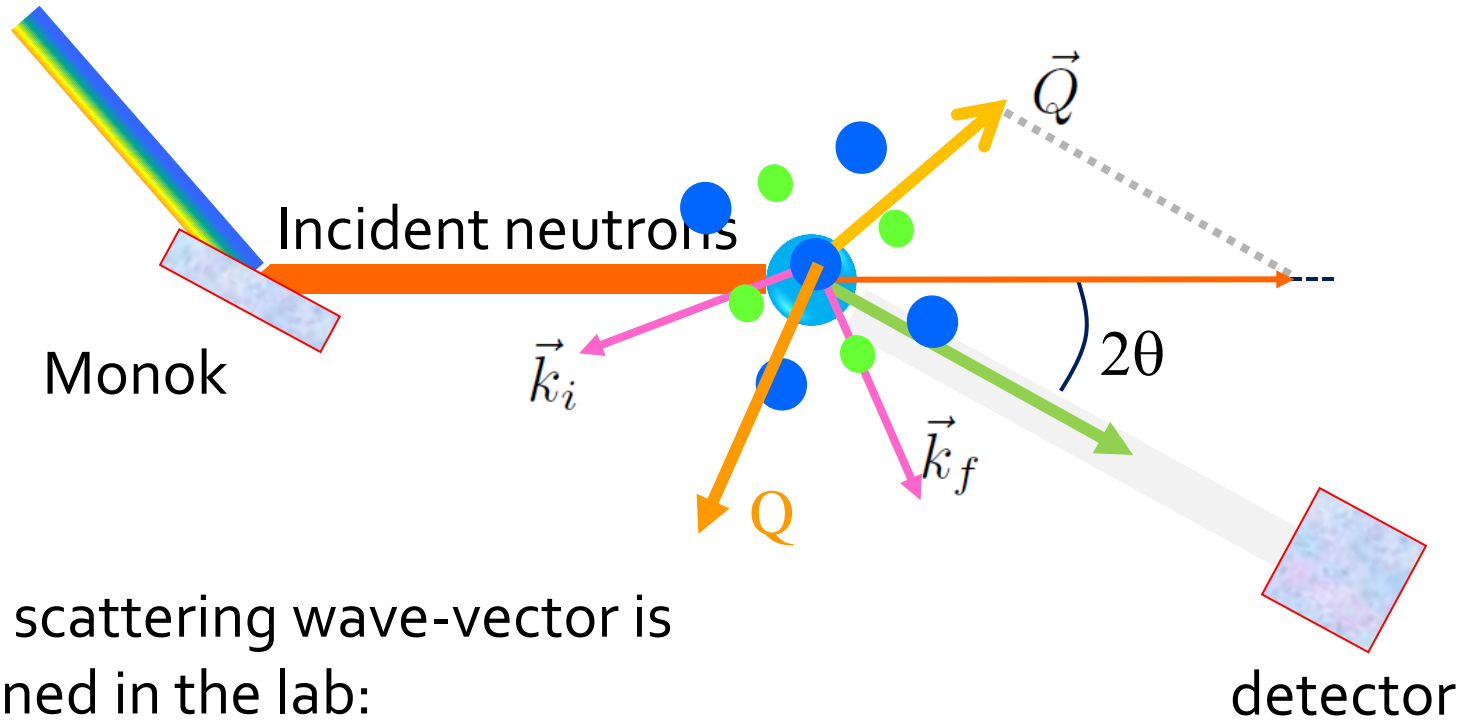
How to select $|Q|$?



For given incident and final energies,
 θ imposes $|Q|$ but not \vec{Q}

$$\vec{Q} = \vec{k}_i - \vec{k}_f$$
$$Q^2 = k_i^2 + k_f^2 - 2k_i k_f \cos 2\theta$$

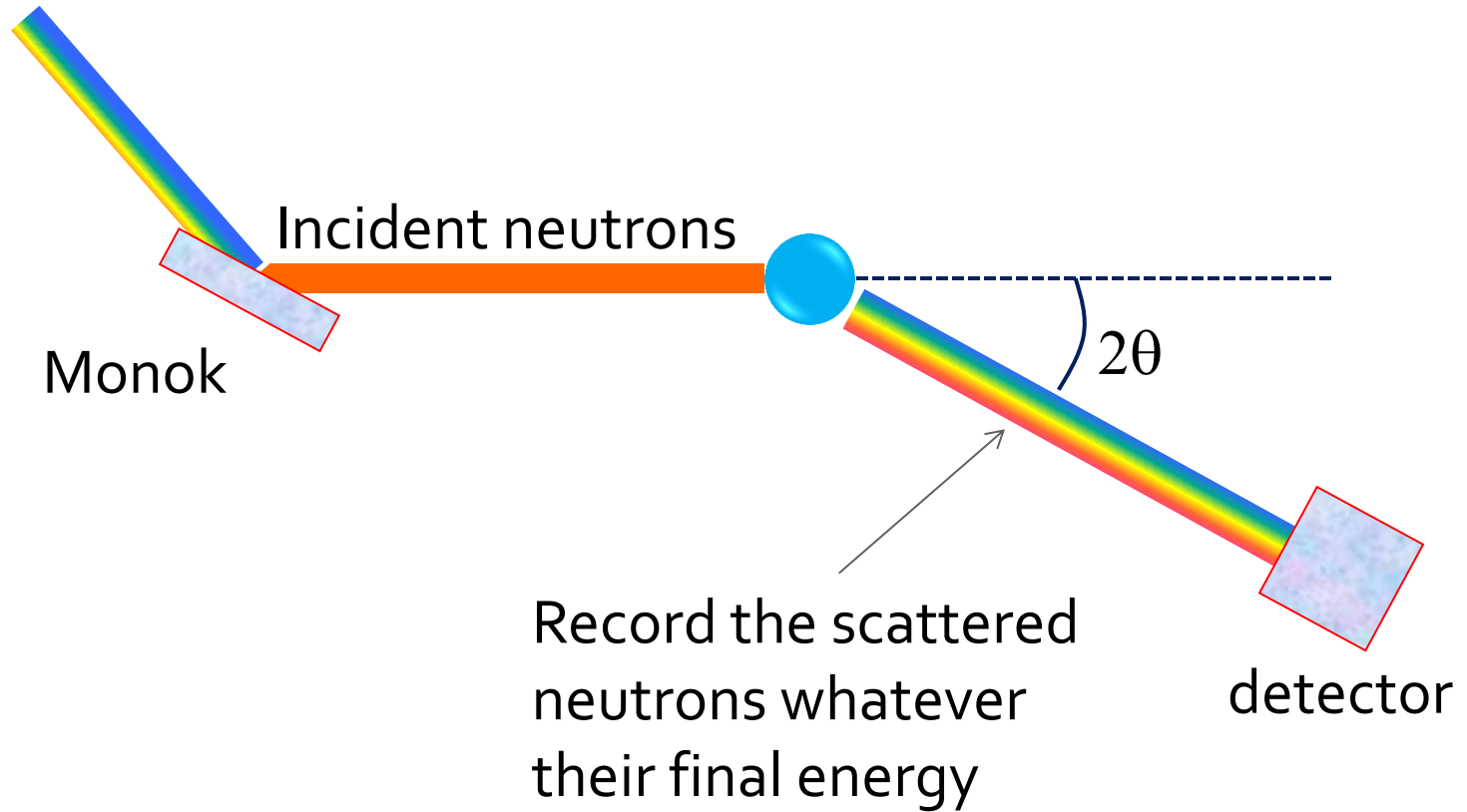
How to select \vec{Q} ?



The scattering wave-vector is defined in the lab:

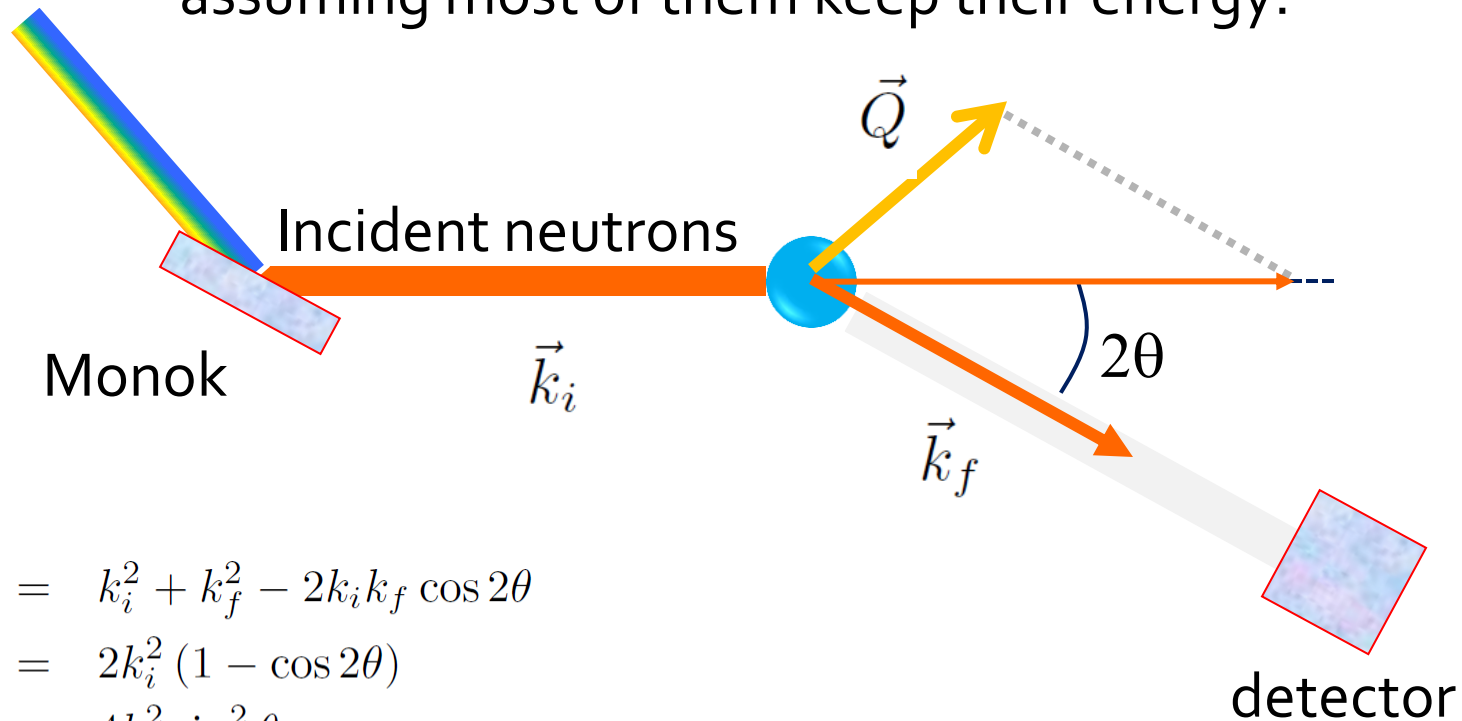
$$\vec{Q} = \vec{k}_i - \vec{k}_f$$

Diffraction



Diffraction

assuming most of them keep their energy:



$$Q^2 = k_i^2 + k_f^2 - 2k_i k_f \cos 2\theta$$

$$= 2k_i^2 (1 - \cos 2\theta)$$

$$= 4k_i^2 \sin^2 \theta$$

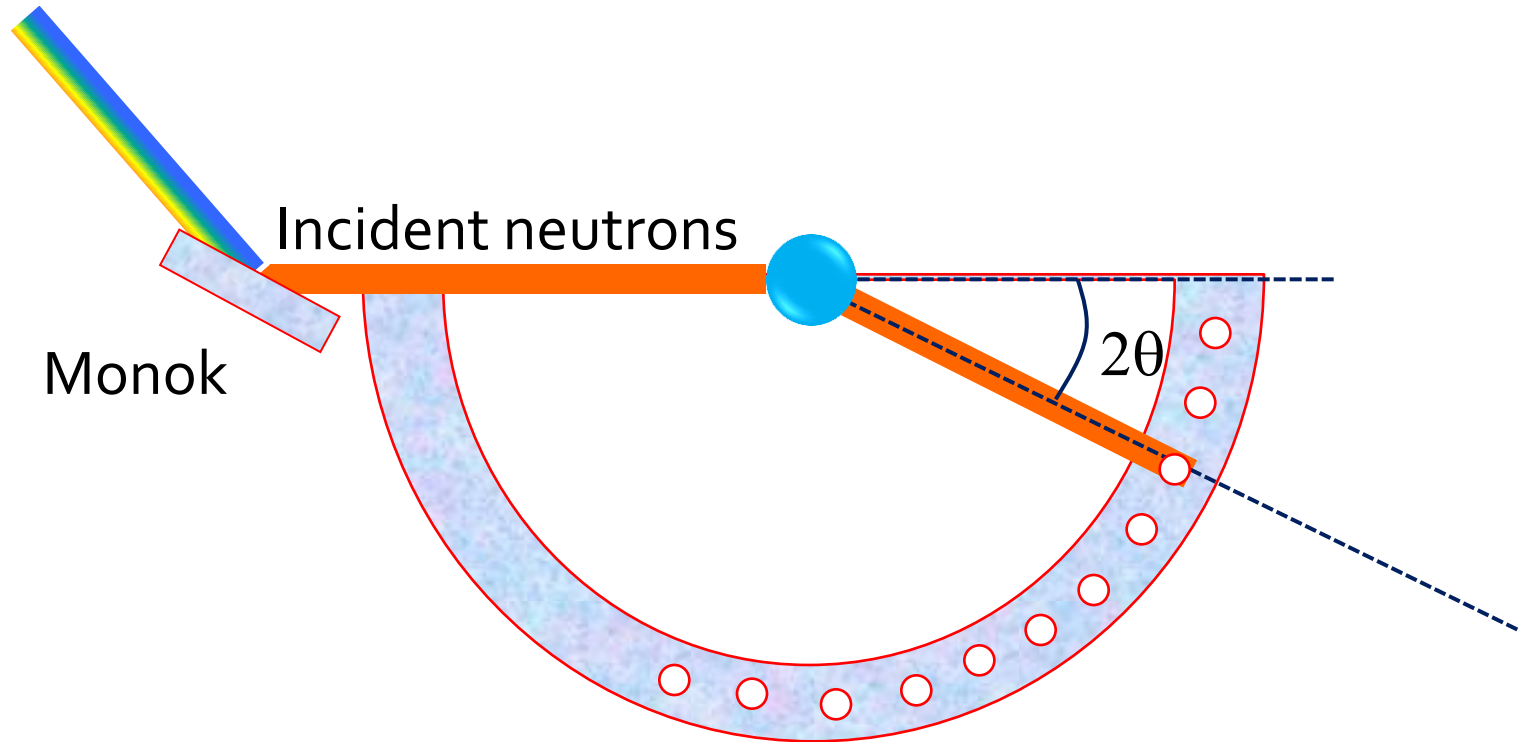
$$Q = 2k_i \sin \theta$$

$$\frac{2\pi}{d} = 2\frac{2\pi}{\lambda} \sin \theta$$

$$\lambda = 2d \sin \theta$$

Bragg law

Diffraction

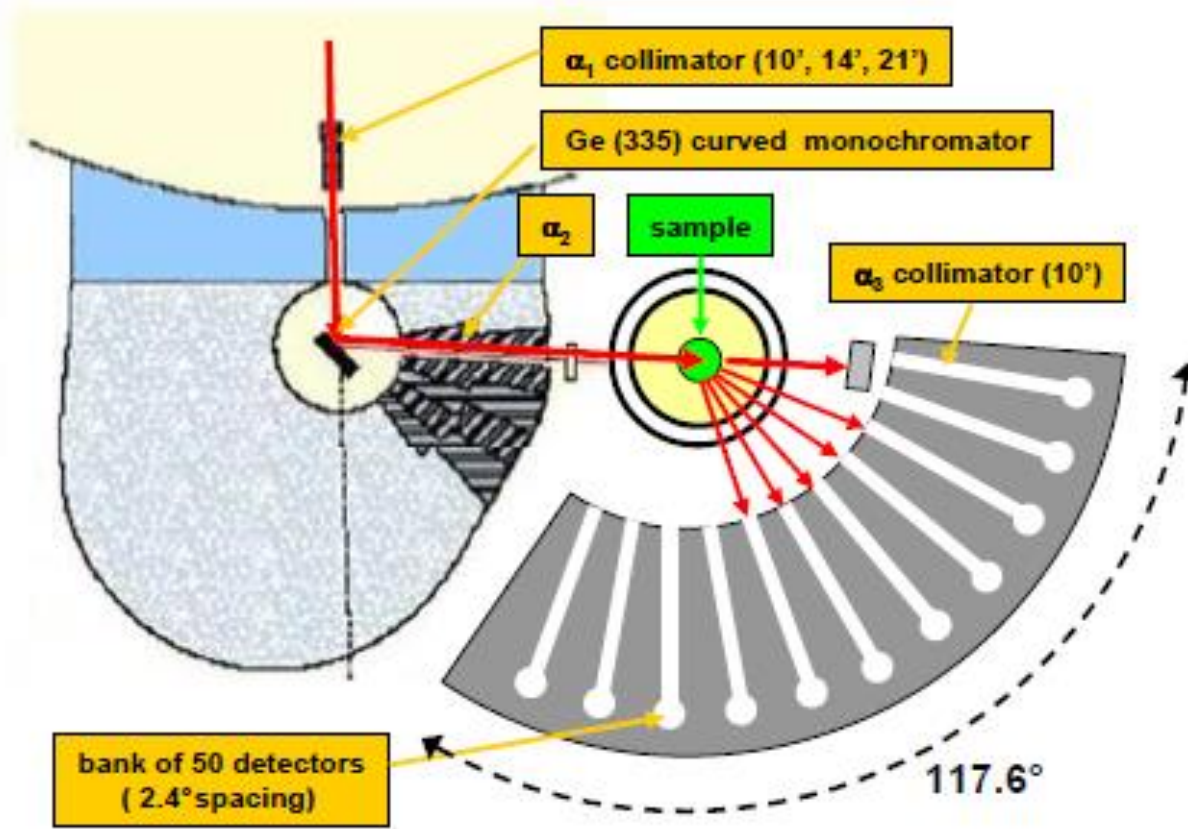


We still have to rotate the sample even if the experiment looks more efficient with a detector bank

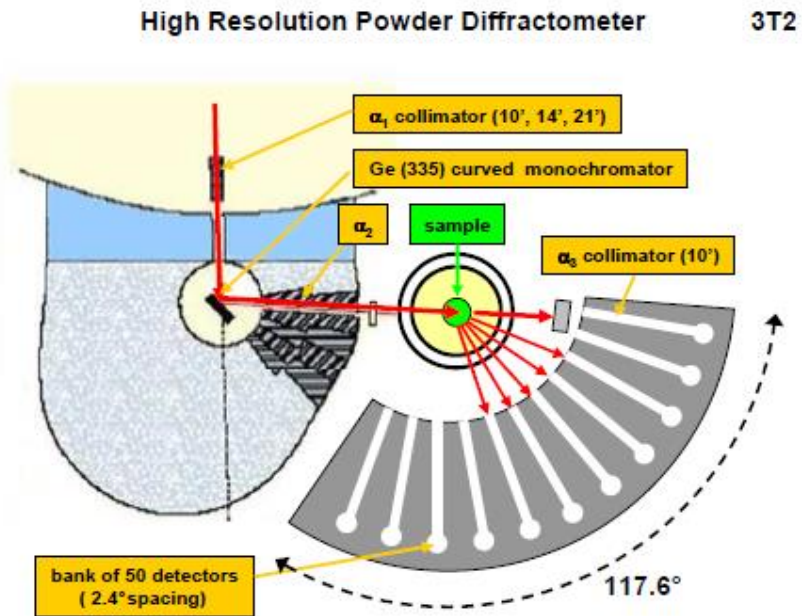
Diffraction

High Resolution Powder Diffractometer

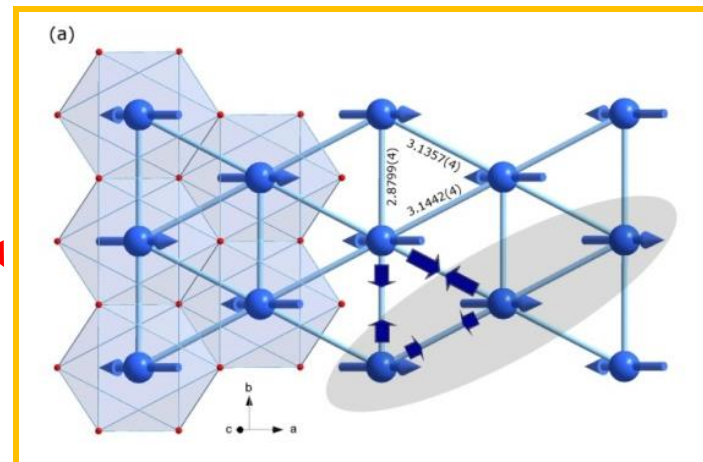
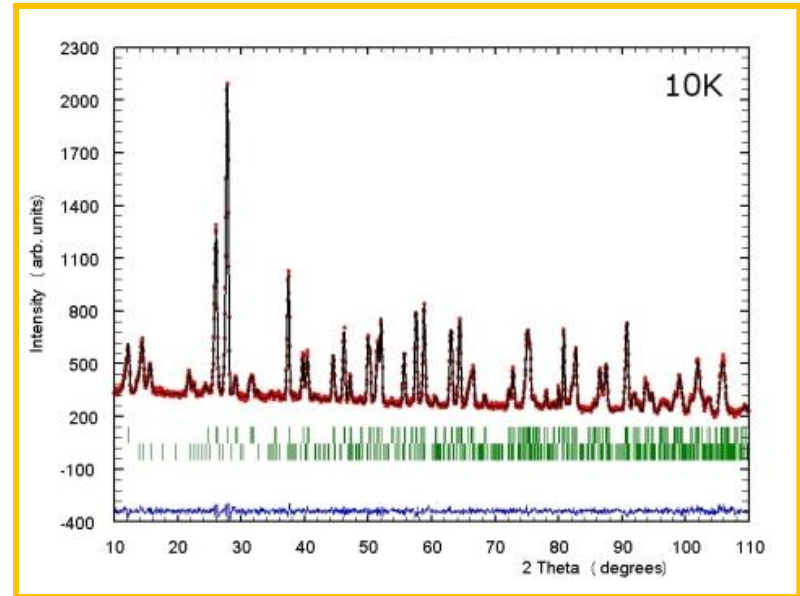
3T2



Diffraction

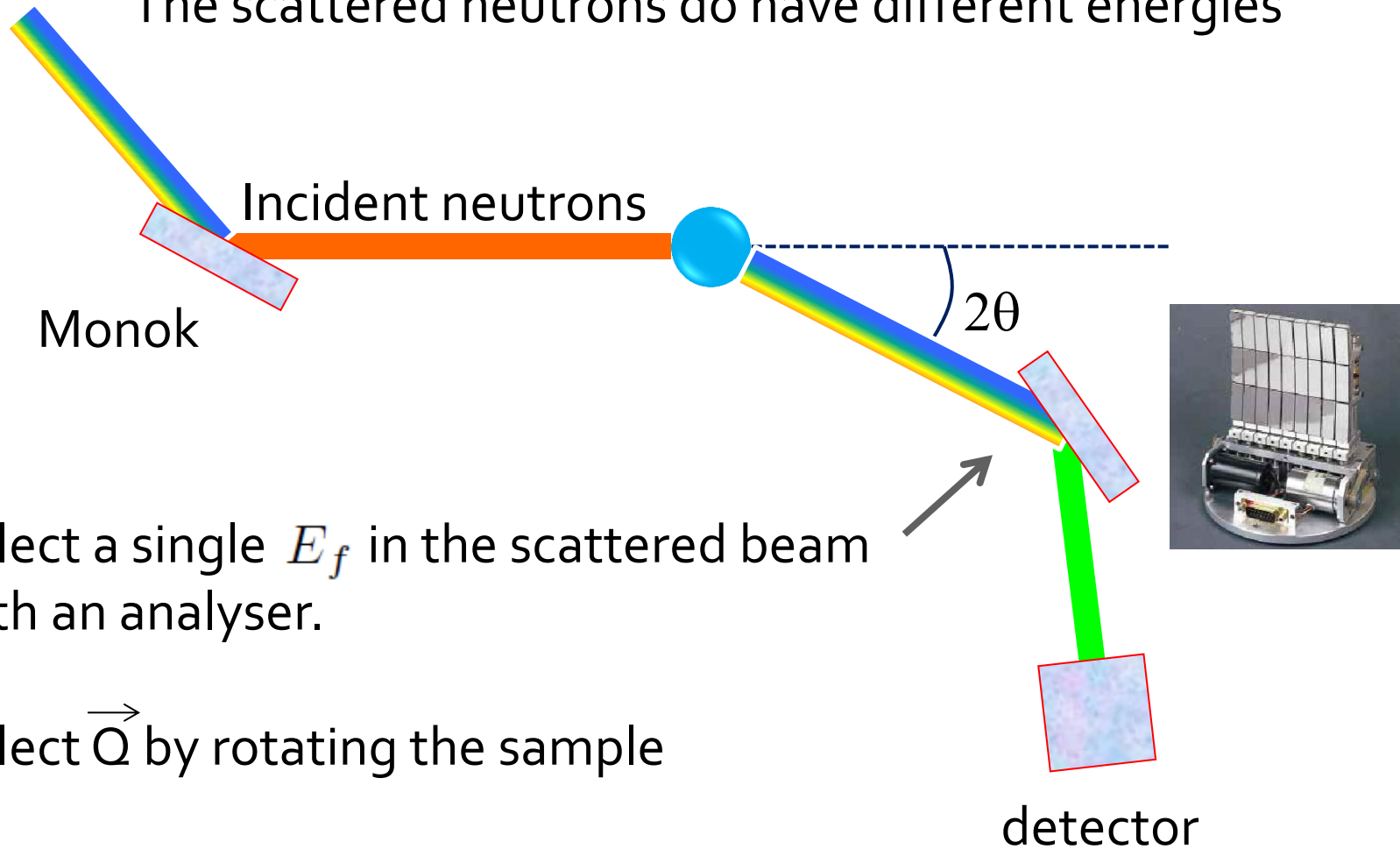


Unit cell and space group



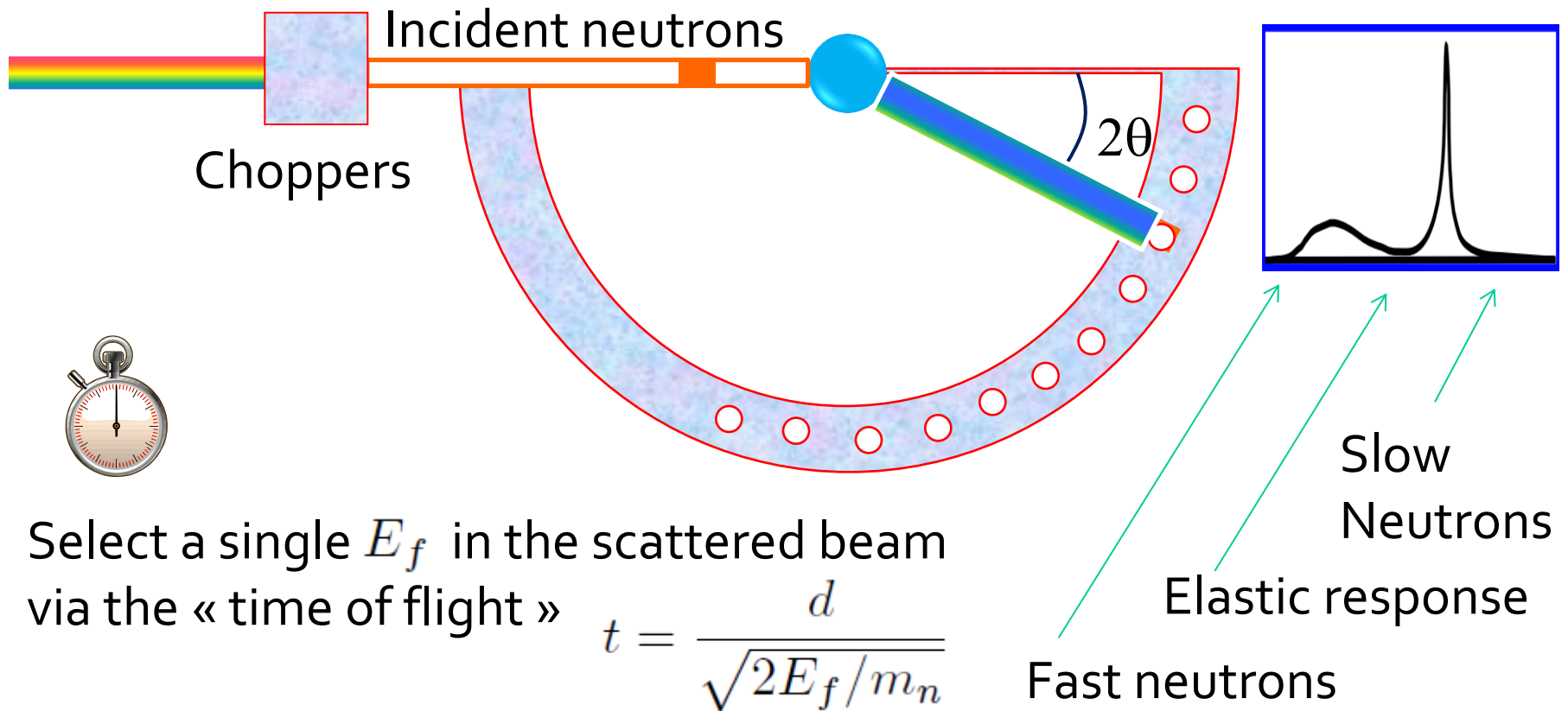
Inelastic scattering

The scattered neutrons do have different energies



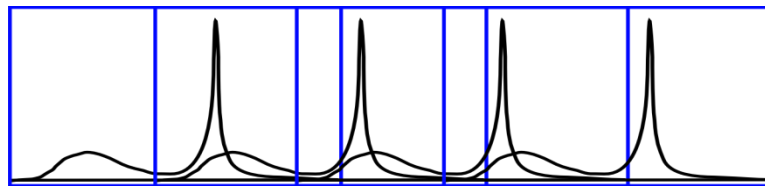
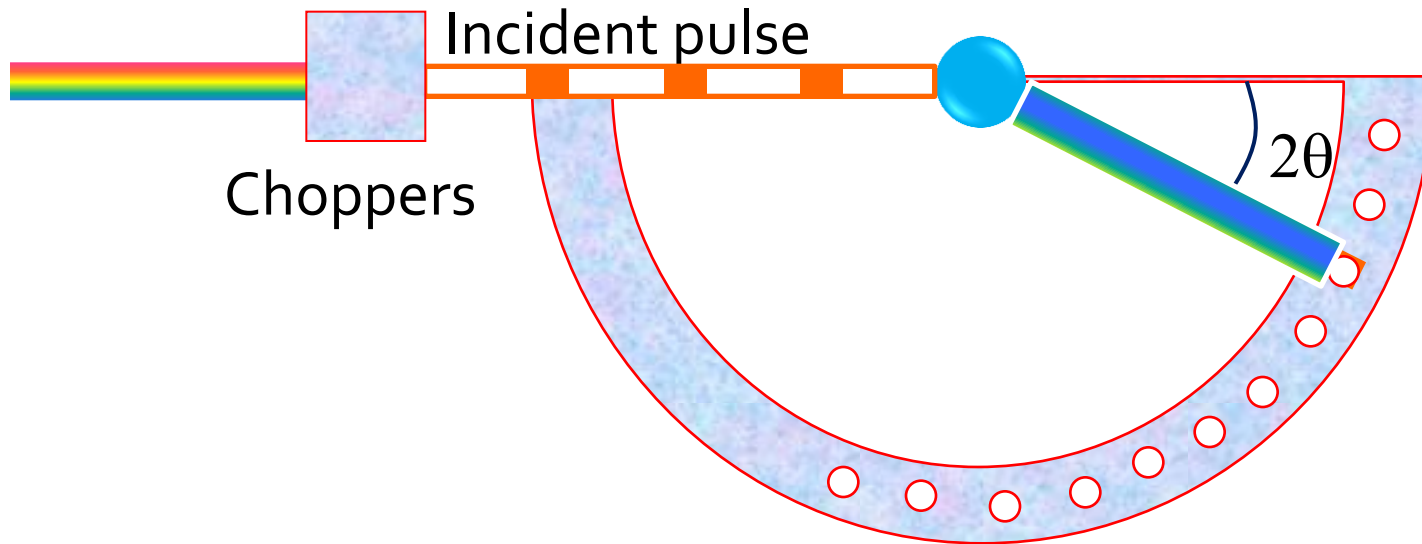
Inelastic scattering

The scattered neutrons do have different energies



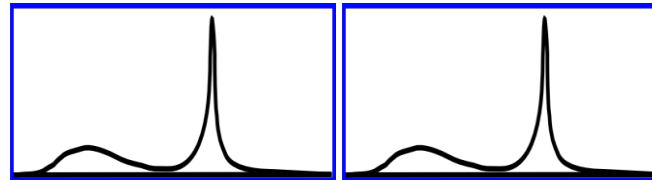
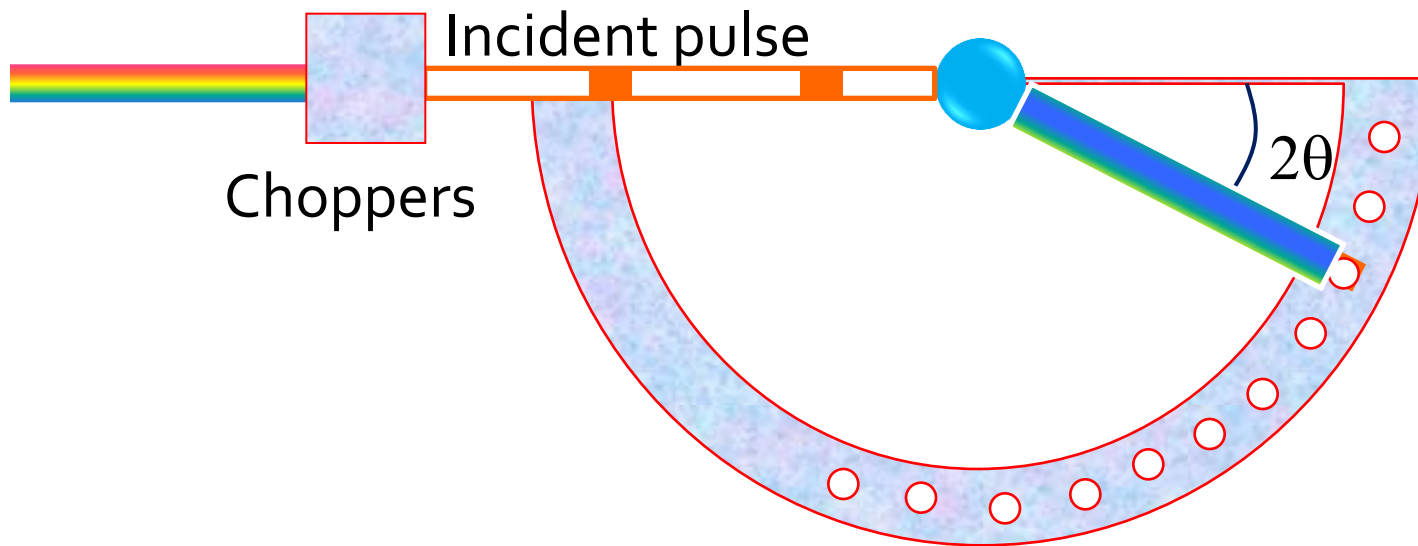
Inelastic scattering

Chop the beam



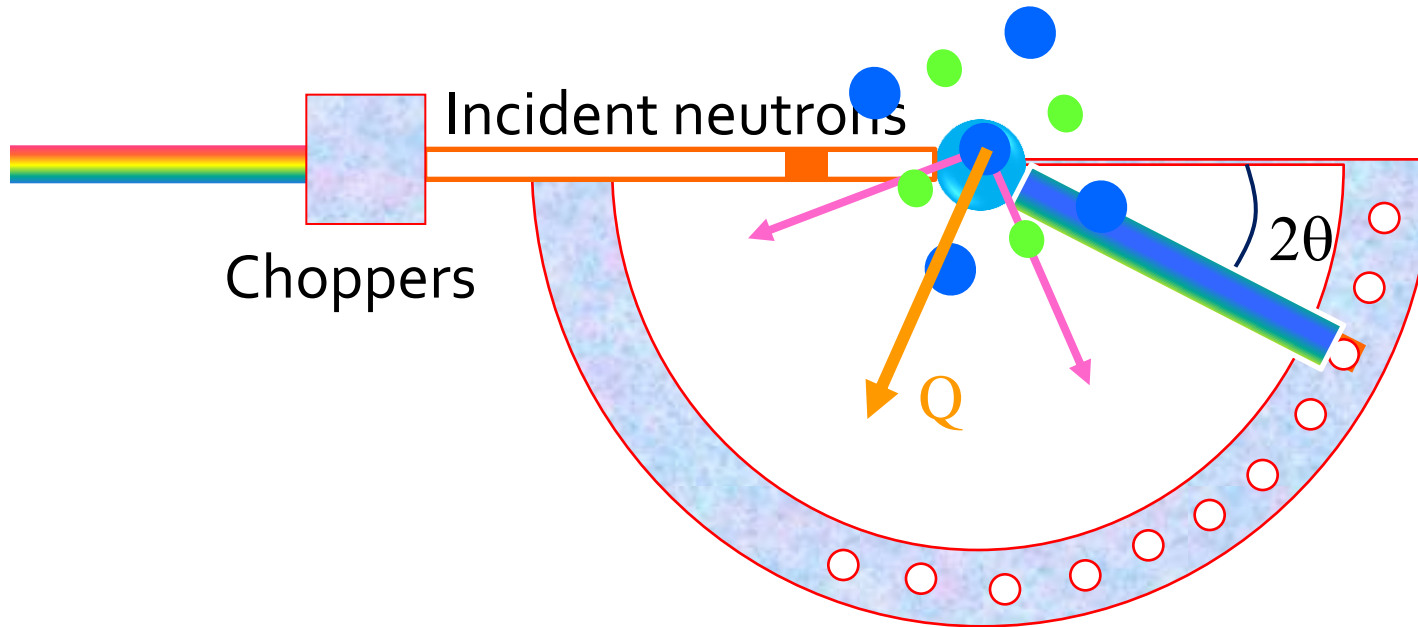
Inelastic scattering

Chop the beam



Inelastic scattering

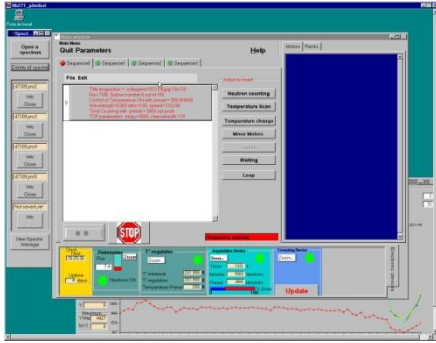
The scattered neutrons do have different energies



Select \vec{Q} by rotating the sample

$$\vec{Q} = \vec{k}_i - \vec{k}_f$$
$$Q^2 = k_i^2 + k_f^2 - 2k_i k_f \cos 2\theta$$

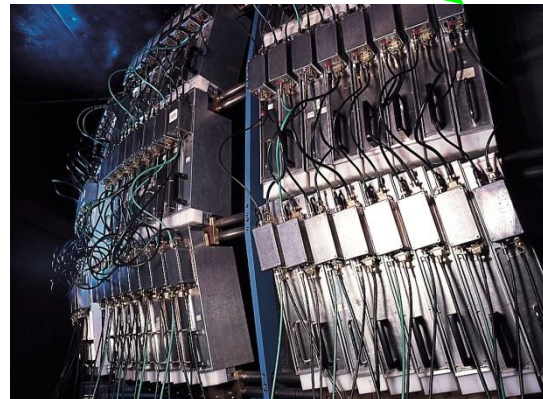
An instrument consists in ...



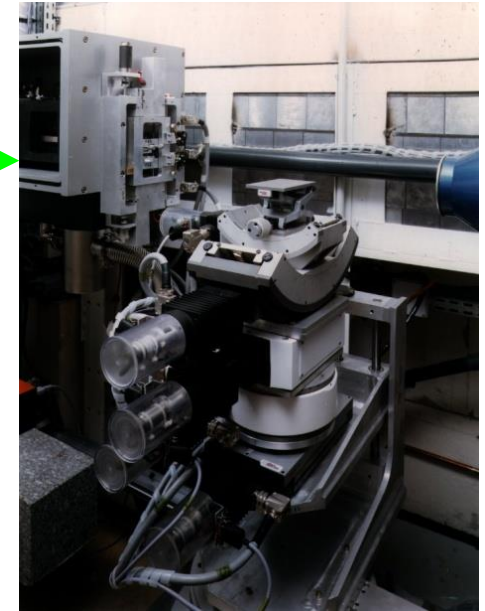
Control Software
Data storage
Data treatment



Electronics

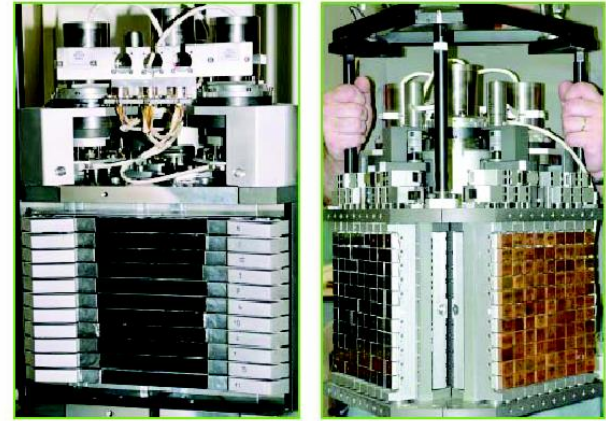
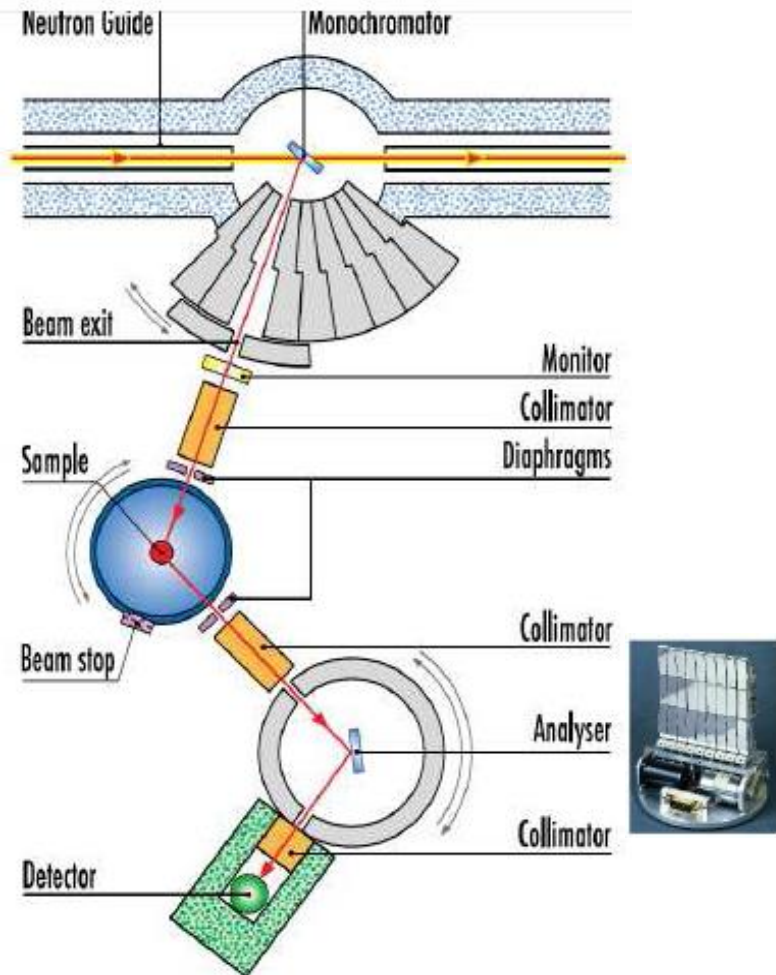


Detector

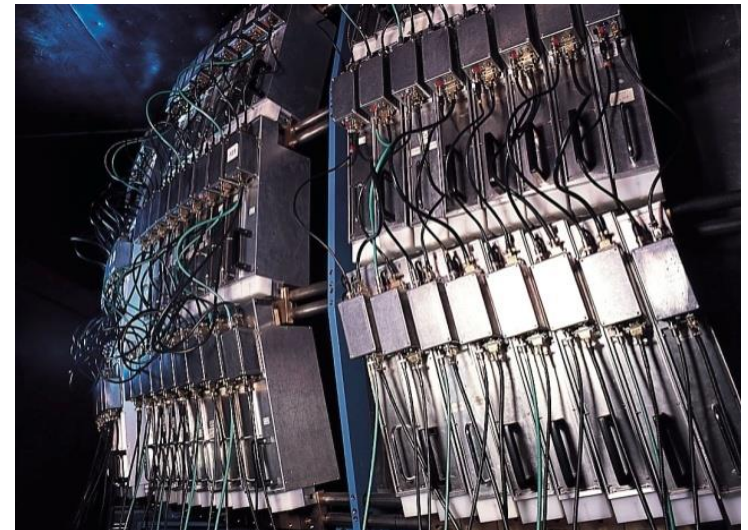
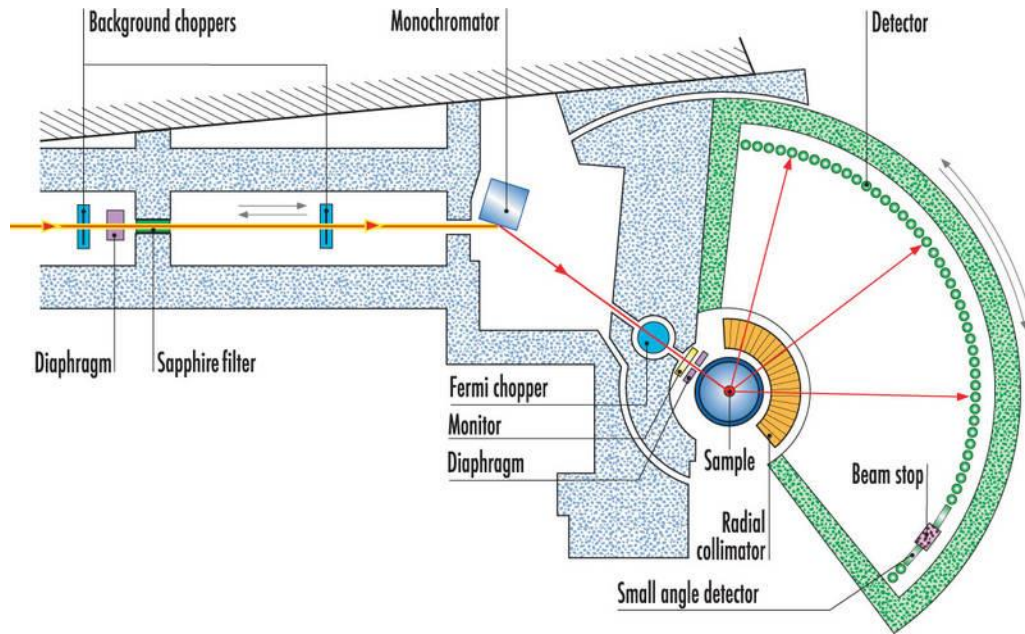


Positioning

Triple axis



Time of flight



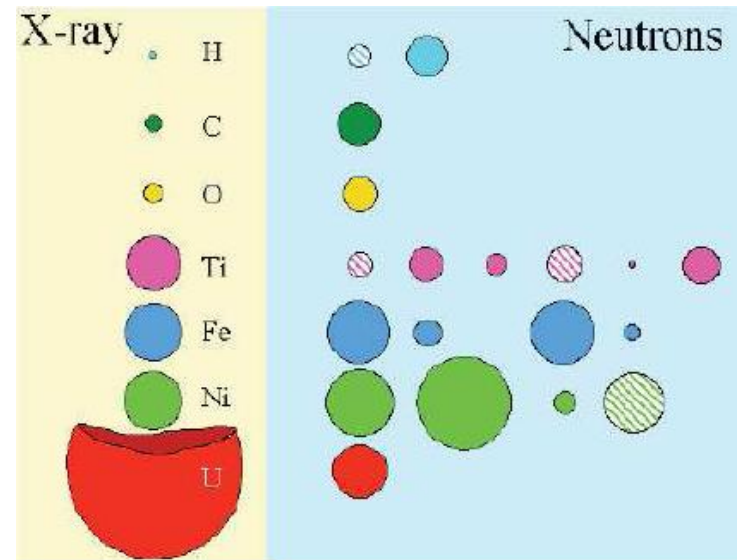
Cross section, nuclear interaction

Nuclear interaction with nuclei

$$\hat{V}_n(\vec{r}) = \frac{2\pi\hbar^2}{M} b\delta(\vec{r} - \vec{R}).$$

b= scattering length

- positive or negative
- depends on the isotope



$$\frac{\partial^2 \sigma}{\partial \Omega \partial E'} = \frac{k_f}{k_i} \sum_{i,j} b_i b_j \int_{-\infty}^{+\infty} dt \langle e^{iQ \cdot R_i} e^{-iQ \cdot R_j(t)} \rangle e^{-i\omega t}$$

Cross section, nuclear interaction

$$\frac{\partial^2 \sigma}{\partial \Omega \partial E'} = \frac{k_f}{k_i} \sum_{i,j} b_i b_j \int_{-\infty}^{+\infty} dt \langle e^{iQ \cdot R_i} e^{-iQ \cdot R_j(t)} \rangle e^{-i\omega t}$$

Frozen lattice

$$R_i(t) = R_m^o + r_\ell$$

Nothing moves

Unit cell

Atom in the cell

$$\begin{aligned} \frac{\partial^2 \sigma}{\partial \Omega \partial E'} &= \frac{k_f}{k_i} \delta(\omega) \sum_{m,n,\ell,\ell'} b_\ell b_{\ell'} e^{iQ \cdot (R_m^o + r_\ell - R_n^o - r_{\ell'})} \\ &= \delta(\omega) \sum_{m,n} e^{iQ \cdot (R_m^o - R_n^o)} \sum_{\ell,\ell'} b_\ell b_{\ell'} e^{iQ \cdot (r_\ell - r_{\ell'})} \\ &= \delta(\omega) \sum_{m,n} e^{iQ \cdot (R_m^o - R_n^o)} |F(Q)|^2 \end{aligned}$$

« simple » definition
of the structure factor

$$F(Q) = \sum_{\ell} b_\ell e^{iQ \cdot r_\ell}$$

Cross section, nuclear interaction

Vibrating lattice

$$R_i(t) = R_m^o + r_\ell + u_{m,\ell}(t)$$

$$\frac{\partial^2 \sigma}{\partial \Omega \partial E'} = \frac{k_f}{k_i} \sum_{m,n} e^{iQ(R_m^o - R_n^o)} \sum_{\ell,\ell'} b_\ell b_{\ell'} e^{iQ(r_\ell - r_{\ell'})} \int_{-\infty}^{+\infty} dt \langle e^{iQ u_{m,\ell}} e^{-iQ u_{n,\ell'}(t)} \rangle e^{-i\omega t}$$

Harmonic approximation

$$\langle e^{iQ u_{m,\ell}} e^{-iQ u_{n,\ell'}(t)} \rangle = e^{-W_\ell - W_{\ell'}} e^{iQ u_{m,\ell} - iQ u_{n,\ell'}(t)} \quad W_\ell = \frac{1}{2} \langle [Q u_{m,\ell}]^2 \rangle$$

Debye-Waller factor

Cross section, nuclear interaction

$$\frac{\partial^2 \sigma}{\partial \Omega \partial E'} = \frac{k_f}{k_i} \sum_{m,n} e^{iQ(R_m^o - R_n^o)} \sum_{\ell, \ell'} b_\ell b_{\ell'} e^{iQ(r_\ell - r_{\ell'})} e^{-W_\ell - W_{\ell'}} \int_{-\infty}^{+\infty} dt e^{iQ u_{m,\ell} - Q u_{n,\ell'}(t)} e^{-i\omega t}$$

Series expansion

$$e^{iQ u_{m,\ell} - Q u_{n,\ell'}(t)} \approx 1 + \langle Q u_{m,\ell} - Q u_{n,\ell'}(t) \rangle + \dots$$

Elastic term

$$F(Q) = \sum_{\ell} b_\ell e^{iQ r_\ell} e^{-W_\ell}$$

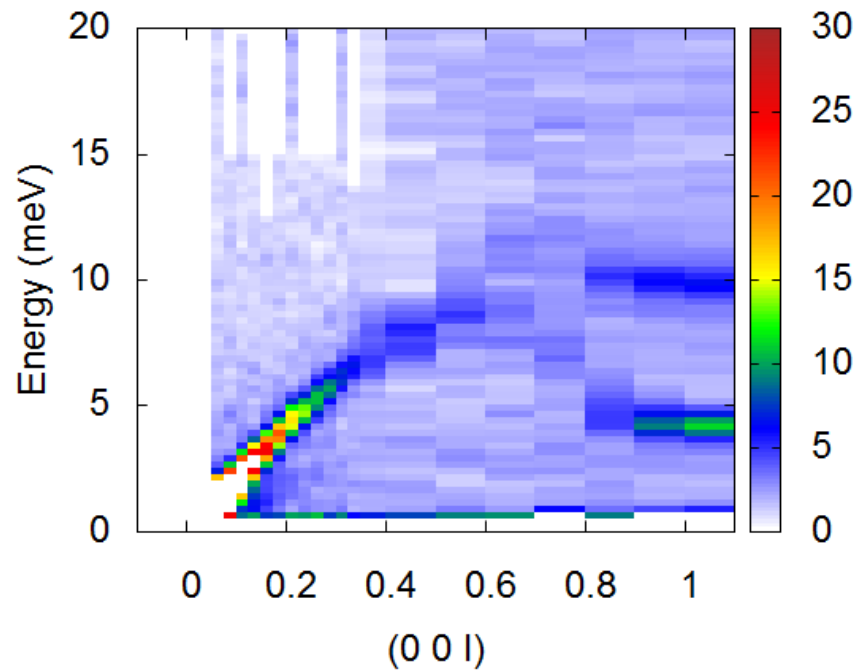
Inelastic term : phonons

$$\frac{\partial^2 \sigma}{\partial \Omega \partial E'} = \sum_s A_s [(1 + n(\omega_{Q,s})) \delta(\omega - \omega_{Q,s}) + n(\omega_{Q,s}) \delta(\omega + \omega_{Q,s})]$$

$$F_s(Q) = \sum_{\ell} b_\ell e^{iQ r_\ell} e^{-W_\ell} \frac{1}{\sqrt{M_\ell \omega_{q,s}}} (\vec{Q} \cdot \vec{e}_{q,\ell})$$

Cross section, nuclear interaction

$$\frac{\partial^2 \sigma}{\partial \Omega \partial E'} = \sum_s A_s [(1 + n(\omega_{Q,s})) \delta(\omega - \omega_{Q,s}) + n(\omega_{Q,s}) \delta(\omega + \omega_{Q,s})]$$



Intensity $\neq 0$ (Bkg) if

$$k_i - k_f = Q$$

$$E_i - E_f = \omega(Q)$$

Cross section, magnetic interaction

Dipolar field created by the spin (and orbital motion) of unpaired electrons

$$E_{ne} = -\mu_n \cdot B_e$$

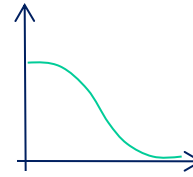
$$B_e(R) = \frac{\mu_o}{4\pi} \left(\text{rot} \left(\frac{\mu_e \times R}{R^3} \right) - e v_e \times \frac{R}{R^3} \right)$$

$$\frac{\partial^2 \sigma}{\partial \Omega \partial E'} = \frac{k_f}{k_i} (\gamma r_o)^2 \sum_{i,j} \int_{-\infty}^{+\infty} dt \langle \vec{S}_{\perp,i} \vec{S}_{\perp,j}(t) e^{iQ \cdot R_i} e^{-iQ \cdot R_j(t)} \rangle e^{-i\omega t}$$

Cross section, magnetic interaction

Unit cell position

Form factor of unpaired electrons in a given orbital (tabulated)

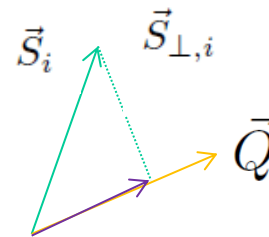


Atomic positions within the unit cell

$$\frac{\partial^2 \sigma}{\partial \Omega \partial E'} = \frac{k_f}{k_i} (\gamma r_o)^2 \sum_{m,n} e^{iQ(R_m - R_n)} \sum_{i,j} f_i(Q) f_j^*(Q) e^{iQ(r_i - r_j)} e^{-W_i - W_j} \int_{-\infty}^{+\infty} dt \langle \vec{S}_{\perp,m,i} \vec{S}_{\perp,n,j}(t) \rangle e^{-i\omega t}$$

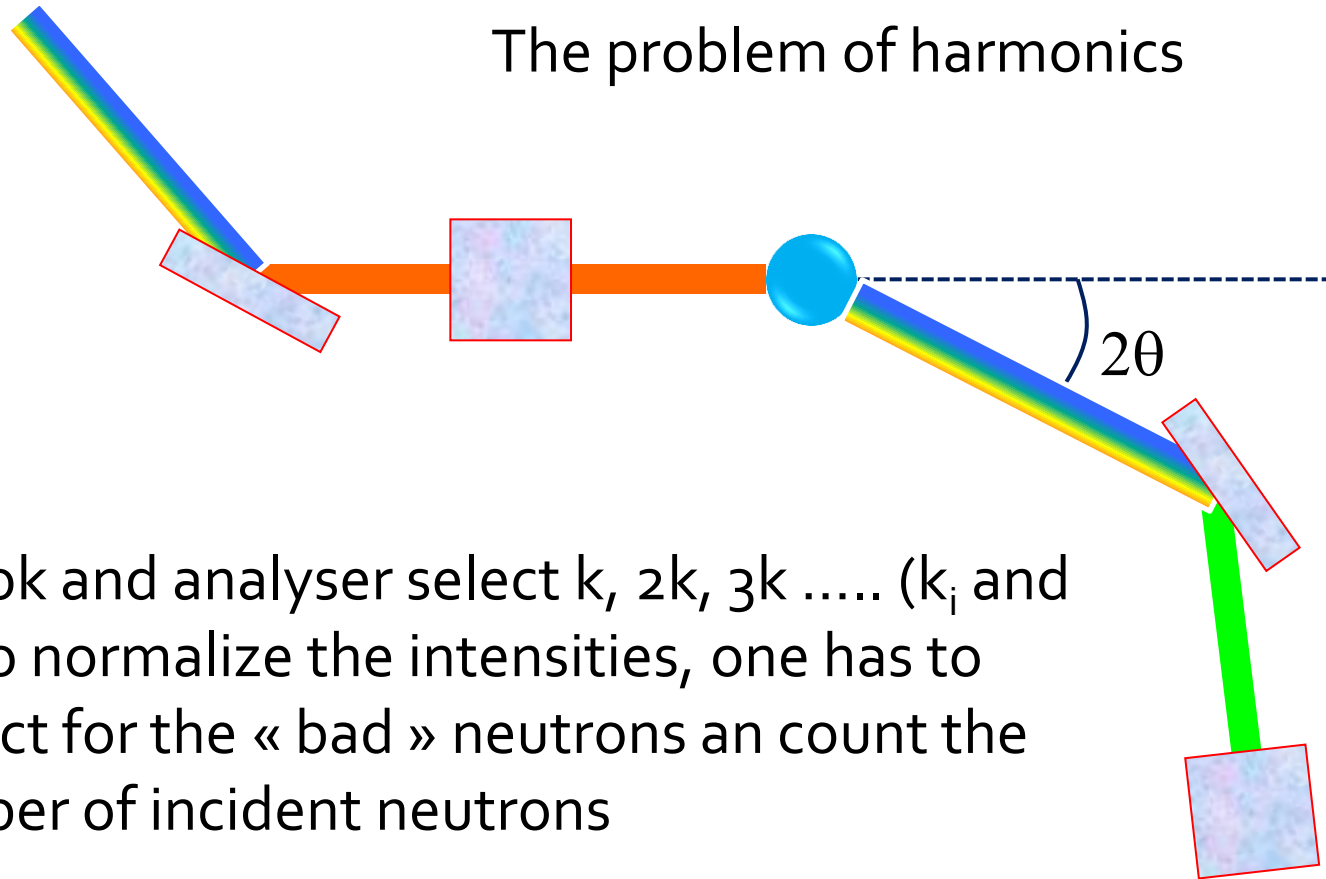
Debye-waller factor (thermal motion of the ions)

- Spin-spin correlation function
- spin components perp to Q (dipolar interaction)
- $\omega(k)$ for spin waves in close analogy with the case of phonons



$$\frac{\partial^2 \sigma}{\partial \Omega \partial E'} = \sum_s A_s [(1 + n(\omega_{Q,s})) \delta(\omega - \omega_{Q,s}) + n(\omega_{Q,s}) \delta(\omega + \omega_{Q,s})]$$

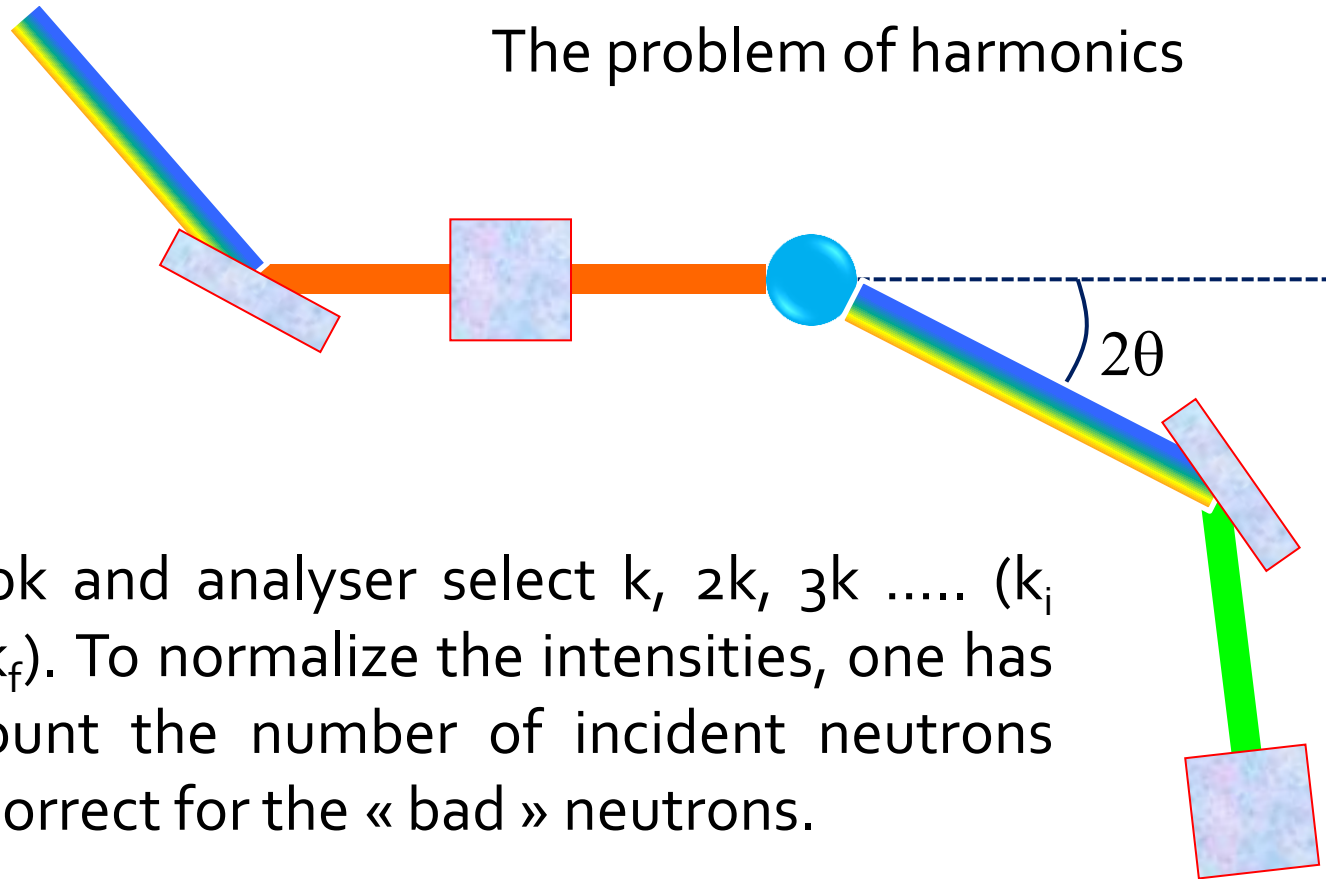
Triple axis in practice



Monochromator and analyser select k , $2k$, $3k$ (k_i and k_f). To normalize the intensities, one has to correct for the « bad » neutrons and count the number of incident neutrons

“monitor” : low sensitivity detector

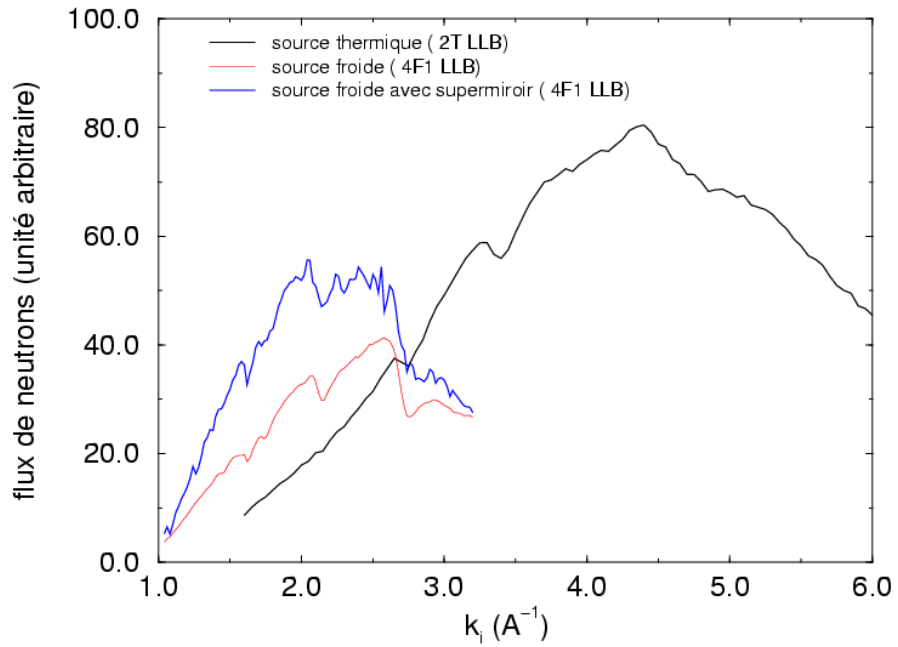
Triple axis in practice



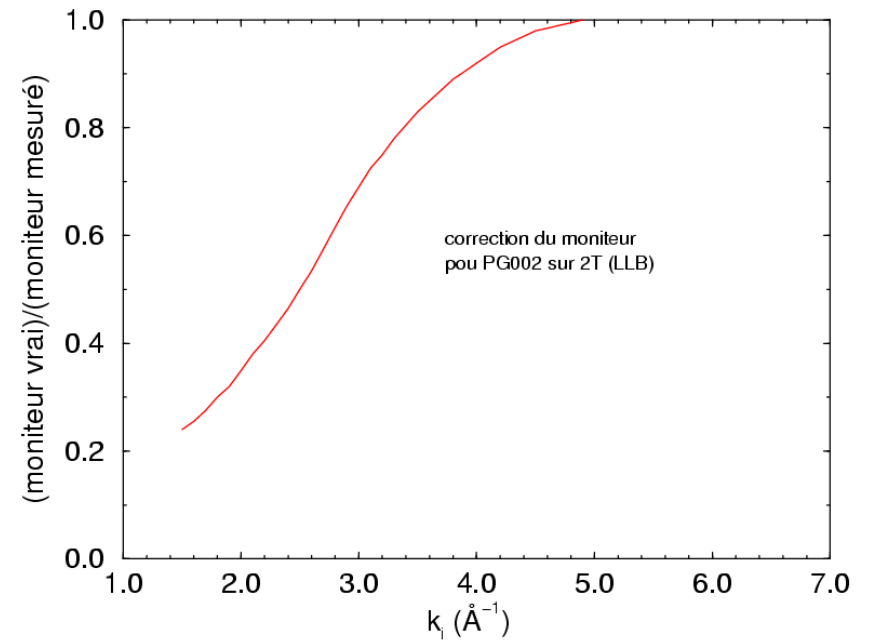
Monok and analyser select k , $2k$, $3k$ (k_i and k_f). To normalize the intensities, one has to count the number of incident neutrons and correct for the « bad » neutrons.

“monitor” : low sensibility detector

Flux

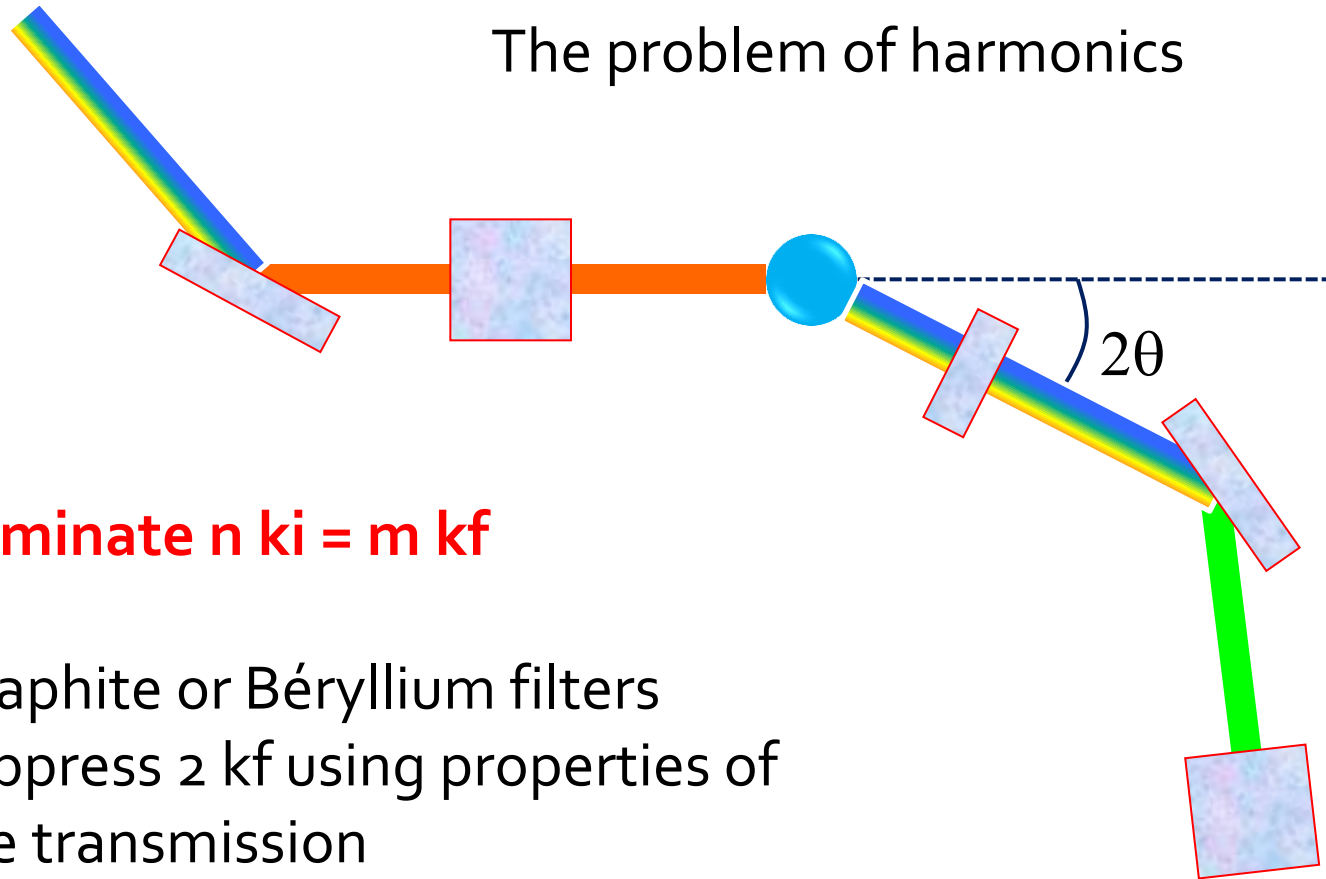


Monitor correction



Filters

The problem of harmonics



Eliminate $n k_i = m k_f$

Graphite or Beryllium filters
suppress $2 k_f$ using properties of
the transmission

$3 k_f$ is not suppressed !!

Magic values

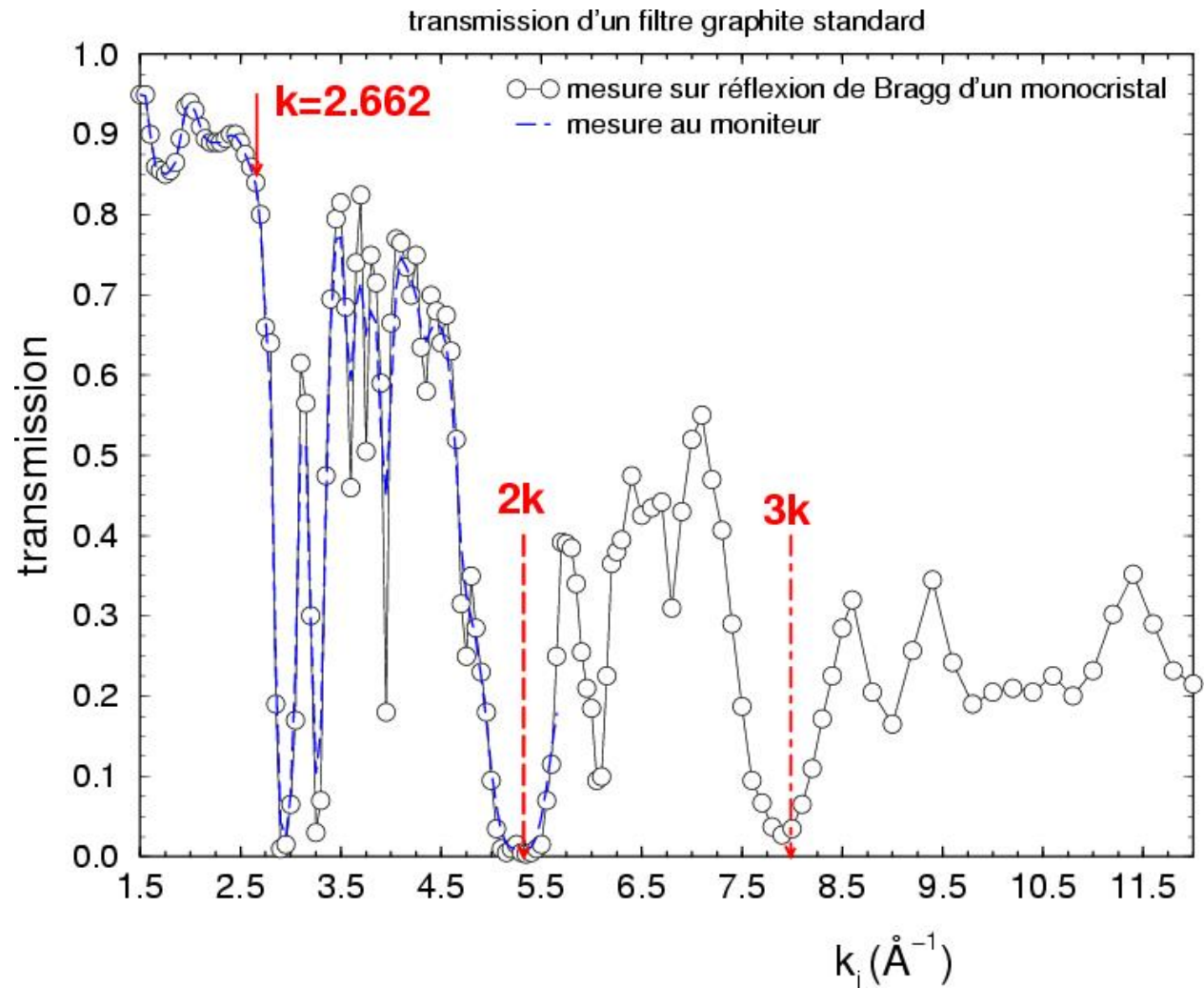
$$k=2.662 \text{ \AA}^{-1}$$

$$k=1.97 \text{ \AA}^{-1}$$

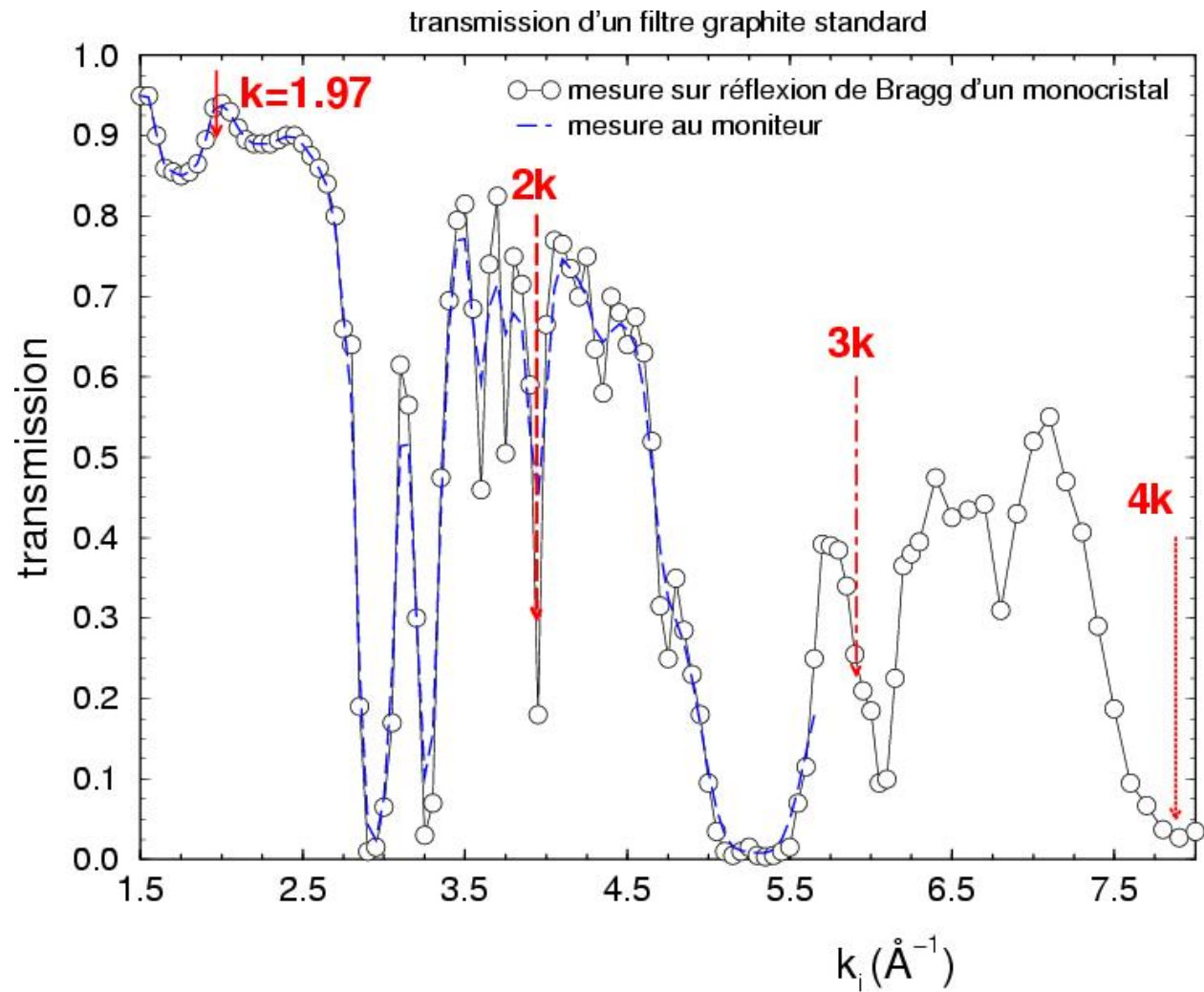
$$k=1.64 \text{ \AA}^{-1}$$

$$k=3.85 \text{ \AA}^{-1}$$

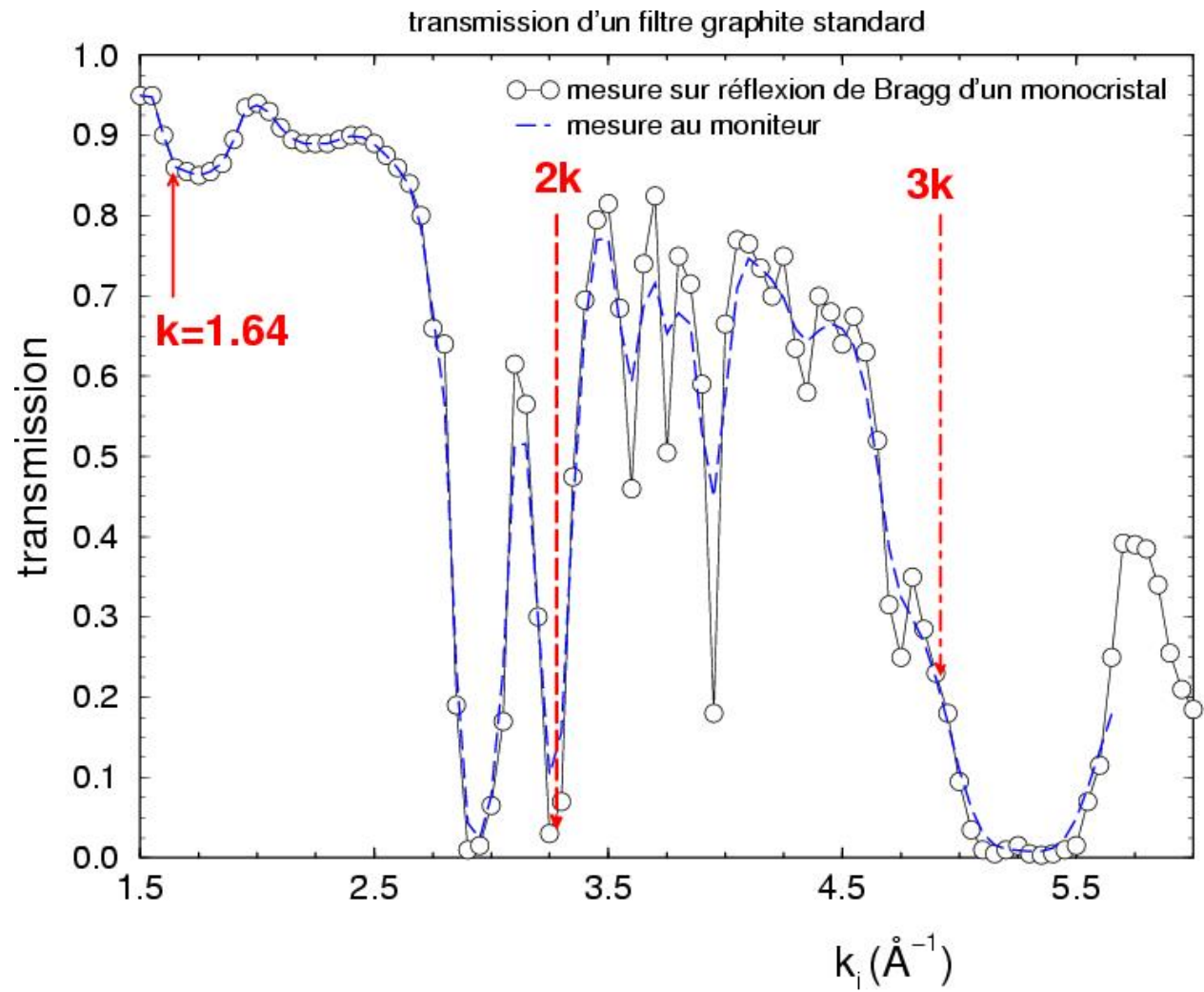
$$k=4.10 \text{ \AA}^{-1}$$



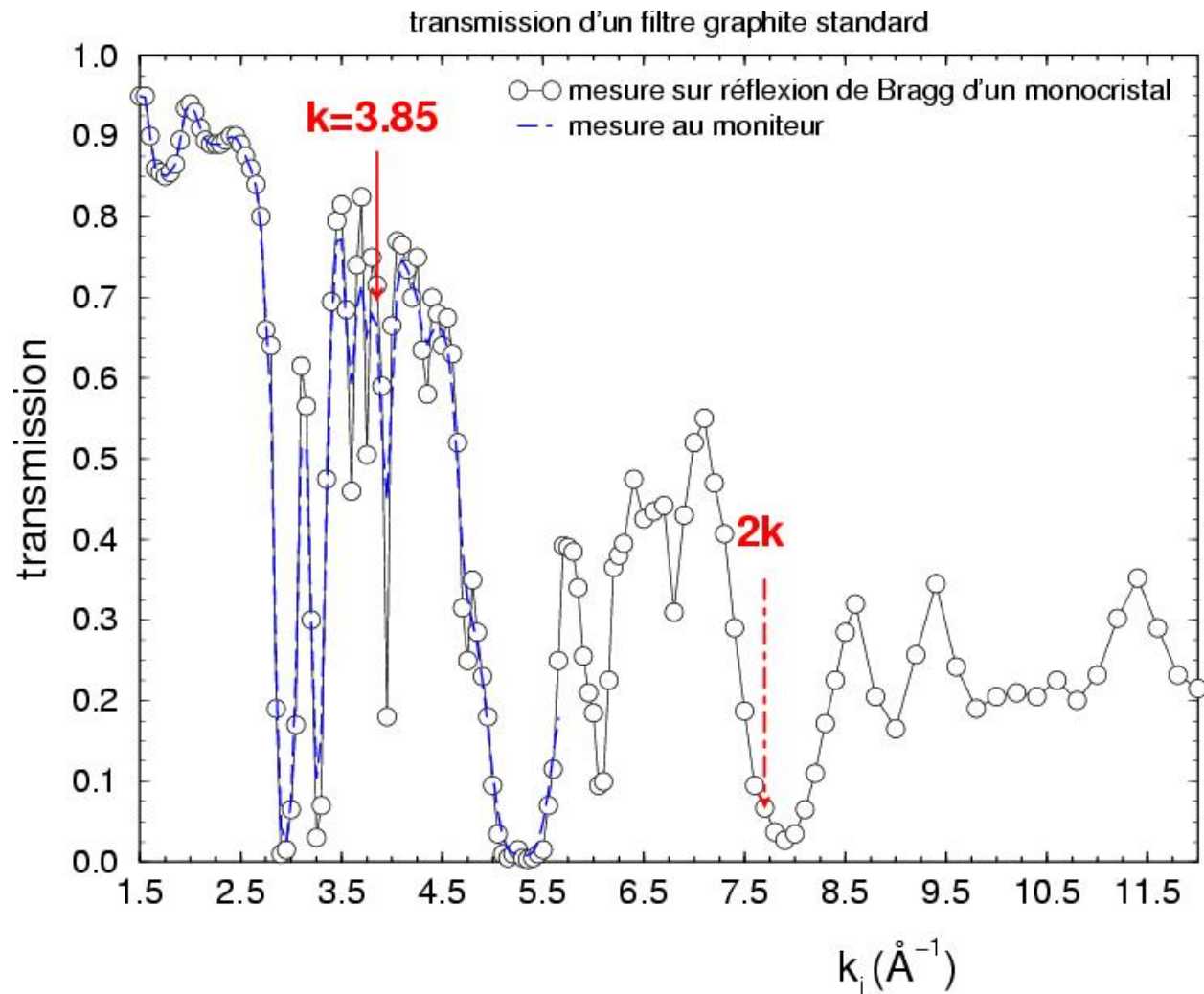
$$k=1.97 \text{ \AA}^{-1}$$



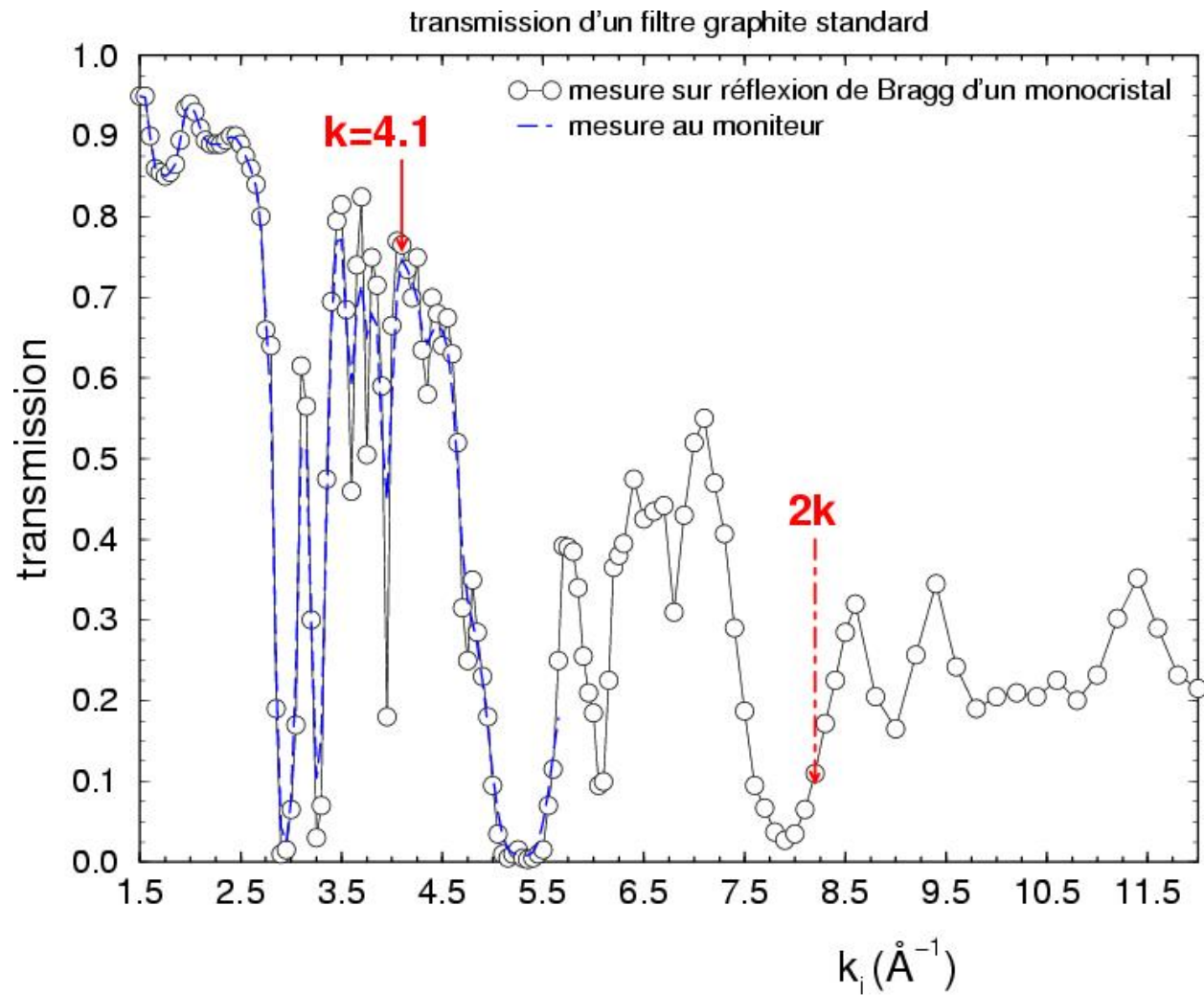
$$k=1.64 \text{ \AA}^{-1}$$



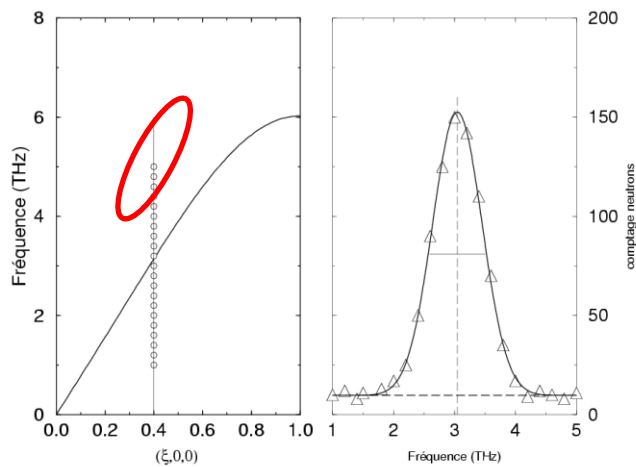
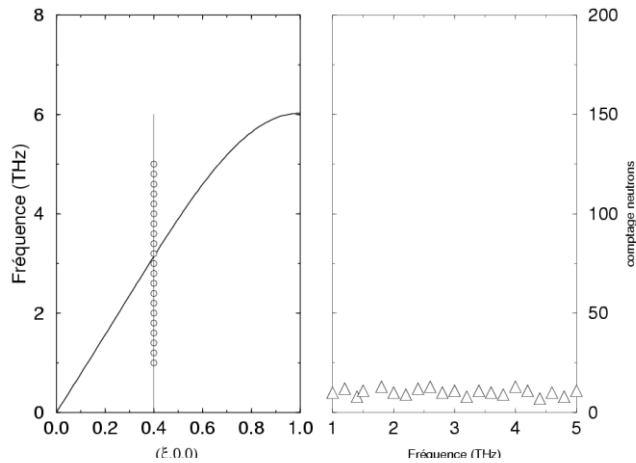
$$k=3.85 \text{ \AA}^{-1}$$



$$k=4.10 \text{ \AA}^{-1}$$



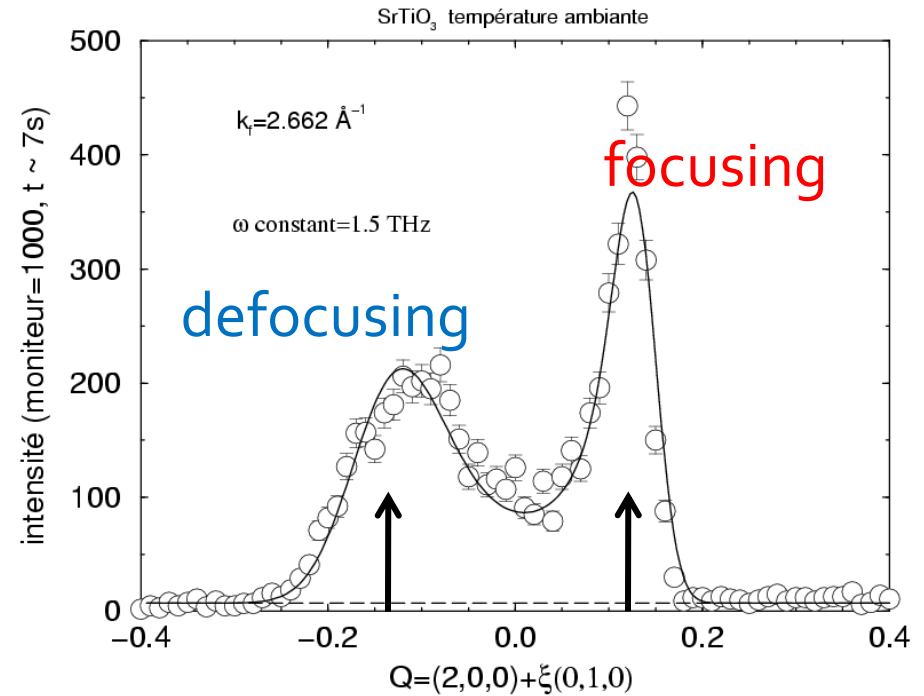
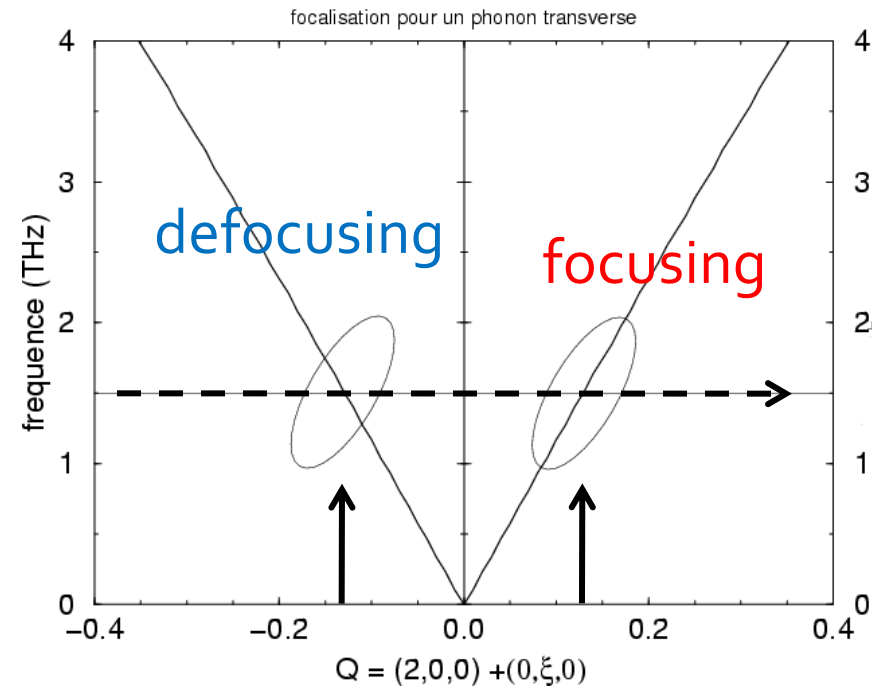
Resolution



$$S(\vec{Q}, \omega) \otimes R(\vec{Q}, \omega)$$

*The neutron spot in phase-space is
an ellipsoid*

Focusing effect on two modes at $-q$ and $+q$



Examples

Spin dynamics in Manganites

LaSrMnO_3

(M. Hennion and F. Moussa)

Examples

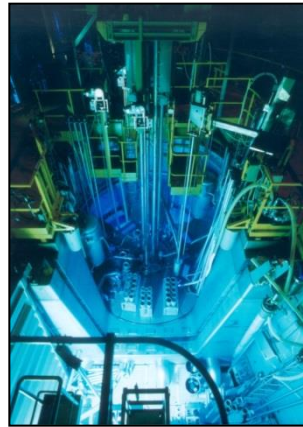
Example 2

Spin dynamics in Dy thin film
(K. Dumesnil, C. Dufour, IJL Nancy France)

Summary

Crystalline and
magnetic structures

Elementary
excitations in solids



Large scale
structures

Quasi-elastic
scattering

Reflectometry
(surface)

Inelastic scattering : dispersion of elementary excitations : phonons, spin waves can be measured in (Q, ω) space. With the help of a model, it becomes possible to determine the structure and measure physical parameters as $k, J, D \dots$